# Discrete dynamical systems associated with root systems of indefinite type

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#### Abstract

A geometric approach to the equation found by Hietarinta and Viallet, which satisfies the singularity confinement criterion but which exhibits chaotic behavior, is presented. It is shown that this equation can be lifted to an automorphism of a certain rational surface and can therefore be considered to be the action of an extended Weyl group of indefinite type. A method to construct the mappings associated with some indefinite root systems is presented. A method to calculate their algebraic entropy by using the theory of intersection numbers is presented. It is also shown that the degree of the n-th iterate of every discrete Painlevé equation in Sakai's list is at most  $O(n^2)$  and therefore its algebraic entropy is zero.

## 1 Introduction

The singularity confinement method has been proposed by Grammaticos, Ramani and Papageorgiou [1] as a criterion for the integrability of (finite or infinite dimensional) discrete dynamical systems. The singularity confinement method demands that when singularities appear due to particular initial values such singularities should disappear after a finite number of iteration steps, in which case the information on the initial values ought to be recovered (hence the dynamical system has to be invertible).

However "counter examples" were found by Hietarinta and Viallet [2]. These mappings satisfy the singularity confinement criterion but the orbits of their solutions exhibit chaotic behavior. The authors of [2] introduced the notion of algebraic entropy in order to test the degree of complexity of successive iterations. The algebraic entropy is defined as  $s := \lim_{n\to\infty} \log(d_n)/n$  where  $d_n$  is the degree of the n-th iterate. This notion is linked to Arnold's complexity since the degree of a mapping gives the intersection number of the image of a line and a hyperplane. While the degree grows exponentially for a generic mapping, it was shown that it only grows polynomially for a large class of integrable mappings [2, 3, 4, 5].

The discrete Painlevé equations have been extensively studied [6, 7]. Recently it was shown by Sakai [8] that all of (from the point of view of symmetries) these are obtained by studying rational surfaces in connection with the extended affine Weyl groups.

Surfaces obtained by successive blow ups [9] of  $\mathbb{P}^2$  or  $\mathbb{P}^1 \times \mathbb{P}^1$  have been studied by several authors by means of connections between the Weyl groups and the groups of Cremona isometries on the Picard group of the surfaces [10, 11, 12]. Here, the Picard group of a rational surface X is the group of isomorphism classes of invertible sheaves on X and it is isomorphic to the group of linear equivalent classes of divisors on X. A Cremona isometry is an isomorphism of the Picard group such that a) it preserves the intersection number of any pair of divisors, b) it preserves the canonical divisor  $K_X$  and c) it leaves the set of effective classes of divisors invariant. In the case where 9 points (in the case of  $\mathbb{P}^2$ , 8 points in the case of  $\mathbb{P}^1 \times \mathbb{P}^1$ ) are blown up, if the points are in general position the group of Cremona isometries becomes isomorphic with an extension of the Weyl group of type  $E_8^{(1)}$ . In case the 9 points are not in general position, the classification of connections between the group of Cremona isometries and the extended affine Weyl groups was first studied by Looijenga [13] and more generally by Sakai. Birational (bimeromorphic) mappings on  $\mathbb{P}^2$  (or  $\mathbb{P}^1 \times \mathbb{P}^1$ ) are obtained by interchanging the procedure of blow downs. Discrete Painlevé equations are recovered as the birational mappings corresponding to the translations of affine Weyl groups.

Our aim in this paper is to characterize some birational mappings which satisfy the singularity confinement criterion but exhibit chaotic behavior from the point of view of the theory of rational surfaces. Considering one such mapping and the space of its initial values, we obtain a rational surface associated with some root system of hyperbolic type. Conversely, we recover the mapping from the surface and consequently obtain the extension of the mapping to its non-autonomous version. It is important to remark that this method also allows the construction of other mappings starting from suitable extended Weyl groups. We also show some other examples of such constructions. We also present

a method to calculate the degree of the *n*-th iterate for a mapping. We show that for all discrete Painlevé equations, the degrees grow at most as  $O(n^2)$ .

In Section 2, we start from one of the mappings found by Hietarinta and Viallet (we call it the HV eq. in this paper) and construct the space such that the mappings is lifted to an automorphism, i.e. bi-holomorphic mapping, of the surface. The mapping  $\varphi'$  is called a mapping lifted from the mapping  $\varphi$  if  $\varphi'$  coincides with  $\varphi$  on any point where  $\varphi$  is defined. For this purpose we compactify the original space of initial values,  $\mathbb{C}^2$ , to  $\mathbb{P}^1 \times \mathbb{P}^1$  and blow up 14 times.

In Section 3, we study the symmetry of the space of initial values. We show that the group of all the Cremona isometries of the Picard group of the surface is isomorphic to an extended Weyl group of hyperbolic type. As a corollary, we prove that there does not exist any Cremona isometry whose action on the Picard group commutes with the action of the HV eq. except the action itself.

In Section 4, we show a method to recover the HV eq. from the surface as an element of the extended Weyl group. Each element of the extended Weyl group which acts on the Picard group as a Cremona isometry, is realized as a Cremona transformation (i.e. a birational mapping) on  $\mathbb{P}^1 \times \mathbb{P}^1$  by interchanging the blow down structure. Here, a blow down structure is the sequence designating the procedure of blow downs. As a result of this we obtain the non-autonomous version of the equation.

In Section 5, we show a method to calculate the degree of the n-th iterate of the mapping which is lifted to an isomorphism of a suitable rational surface. Considering the intersection numbers of divisors it is shown that the degree is given by the n-th power of a matrix given by the action of the mapping on the Picard group. Applying this method for discrete Painlevé equations, we show the degrees of the n-th iterate are at most  $O(n^2)$ .

In Section 6, we discuss the construction of other mappings from certain Weyl groups and show some examples.

## 2 Construction of the space of initial values by blow ups

We consider the dynamical system written by the birational (bi-meromorphic) mapping

$$\varphi: \quad \mathbb{C}^2 \quad \to \quad \mathbb{C}^2$$

$$\begin{pmatrix} x_n \\ y_n \end{pmatrix} \quad \mapsto \quad \begin{pmatrix} x_{n+1} \\ y_{n+1} \end{pmatrix} = \begin{pmatrix} y_n \\ -x_n + y_n + a/y_n^2 \end{pmatrix} \tag{1}$$

where  $a \in \mathbb{C}$  is a nonzero constant. This equation was found by Hietarinta and Viallet [2] and we call it the HV eq.. To test the singularity confinement, let us assume  $x_0 \neq 0$  and  $y_0 = \epsilon$  where  $|\epsilon| \ll 1$ . With these initial values we obtain the sequence:

$$x_{0} = x_{0}$$

$$x_{1} = y_{0} = \epsilon$$

$$x_{2} = y_{1} = a\epsilon^{-2} - x_{0} + \epsilon$$

$$x_{3} = y_{2} = a\epsilon^{-2} - x_{0} + a^{-1}\epsilon^{4} + O(\epsilon^{6})$$

$$x_{4} = y_{3} = -\epsilon + 2a^{-1}\epsilon^{4} + 4x_{0}a^{-2}\epsilon^{6} + O(\epsilon^{7})$$

$$x_{5} = y_{4} = x_{0} + 3\epsilon + O(\epsilon^{2})$$

$$x_{6} = y_{5} = (ax_{0}^{-2} + x_{0}) + O(\epsilon)$$

$$\vdots$$

In this sequence singularities appear at n=1 as  $\epsilon \to 0$  and disappear at n=4 and the information on the initial values is hidden in the coefficients of higher degree  $\epsilon$ . However, taking suitable rational functions of  $x_n$  and  $y_n$  we can find the information of the initial values as finite values. The fact that the leading orders of  $(x_1^2y_1 - a)y_1$ ,  $(x_2^3(y_2/x_2 - 1)^2 - a)x_2$  and  $(x_3y_3^2 - a)x_3$  become  $-ax_0$ ,  $-ax_0$  and  $-ax_0$  actually suggests that the HV eq. can be lifted to an automorphism of a suitable rational surface (although these rational functions are of course not uniquely determined).

Let us consider the HV eq.  $\varphi$  to be a mapping from the complex projective space  $\mathbb{P}^1(\mathbb{C}) \times \mathbb{P}^1(\mathbb{C}) \ (= \mathbb{P}^1 \times \mathbb{P}^1)$  to itself. We use the terminology *space of initial values* as follows (analogous to the space of initial values of Painlevé equations introduced by Okamoto[14]).

**Definition.** A sequence of algebraic varieties  $X_i$  is (or  $X_i$  themselves are) called the space of initial values for the sequence of rational mappings  $\varphi_i$ , if each  $\varphi_i$  is lifted to an isomorphism from  $X_i$  to  $X_{i+1}$ , for all i.

Our aim in this section is to construct the surface X by blow ups  $\mathbb{P}^1 \times \mathbb{P}^1$  such that  $\varphi$  is lifted to an automorphism of X, where the mapping  $\varphi'$  is called a mapping lifted from the mapping  $\varphi$  if  $\varphi'$  coinsides with  $\varphi$  on any point where  $\varphi$  is defined.

## 2.1 Regular mapping from $Y_1$ to $\mathbb{P}^1 \times \mathbb{P}^1$

Let the coordinates of  $\mathbb{P}^1 \times \mathbb{P}^1$  be (x,y), (x,1/y), (1/x,y) and (1/x,1/y) and let  $x=\infty$  denote 1/x=0. We denote the HV eq. as

$$\varphi : (x,y) \mapsto (\overline{x}, \overline{y}) = (y, -x + y + a/y^2)$$
 (2)

where  $(\overline{x}, \overline{y})$  means the image of (x, y) by the mapping. This mapping has two indeterminate points:  $(x, y) = (\infty, 0), (\infty, \infty)$ . By blowing up at these points we can ease the indeterminacy.

We denote blowing up at  $(x, y) = (x_0, y_0) \in \mathbb{C}^2$ :

$$\{(x,y): x,y \in \mathbb{C}\}\$$

$$(x,y): x,y \in \mathbb{C}\}$$

$$\{(x-x_0, y-y_0; \zeta_1: \zeta_2) \mid x,y,\zeta_1,\zeta_2 \in \mathbb{C} \land (y-y_0)\zeta_1 = (x-x_0)\zeta_2\}$$

$$= \{(x-x_0,\zeta_2/\zeta_1 \mid x,\zeta_1,\zeta_2 \in \mathbb{C} \land \zeta_1 \neq 0\} \cup \{(\zeta_1/\zeta_2, y-y_0 \mid y,\zeta_1,\zeta_2 \in \mathbb{C} \land \zeta_2 \neq 0\}$$

by

$$(x,y) \leftarrow (x-x_0,(y-y_0)/(x-x_0)) \cup ((x-x_0)/(y-y_0),y-y_0).$$
 (3)

In this way, blowing up at  $(x, y) = (x_0, y_0)$  gives meaning to  $(x - x_0)/(y - y_0)$  at this point. First we blow up at  $(x, y) = (\infty, 0)$ ,  $(1/x, y) \leftarrow (1/x, xy) \cup (1/xy, y)$  and denote the obtained surface by  $Y_0$ . Then  $\varphi$  is lifted to a rational mapping from  $Y_0$  to  $\mathbb{P}^1 \times \mathbb{P}^1$ . For example, in the new coordinates  $\varphi$  is expressed as

$$(u_1, v_1) := (1/x, xy) \mapsto (\overline{x}, \overline{y}) = (u_1 v_1, (-u_1 v_1^2 + u_1^3 v_1^3 + a)/(u_1^2 v_1^2))$$
  

$$(u_2, v_2) := (1/xy, y) \mapsto (\overline{x}, \overline{y}) = (v_2, (-v_2 + u_2 v_2^3 + au_2)/(u_2 v_2^2)$$

This maps the exceptional curve at  $(x, y) = (\infty, 0)$ , i.e.  $u_1 = 0$  and  $v_2 = 0$ , almost to  $(\overline{x}, \overline{y}) = (\infty, 0)$  but has an indeterminate point on the exceptional curve:  $(u_2, v_2) = (0, 0)$ . Hence we have to blow up again at this point. In general it is known that, if there is a rational mapping  $X \to X'$  where X and X' are smooth projective algebraic varieties, the procedure of blowing up can be completed in a finite number of steps, after which one obtains a smooth projective algebraic variety Y such that the rational mapping is lifted to a regular mapping from Y to X' (theorem of the elimination of indeterminacy [9]).

Here we obtain the surface  $Y_1$  defined by the following sequence of blow ups. (For simplicity we take only one coordinate of (3).)

$$(x,y) \stackrel{(\infty,0)}{\longleftarrow} \left(\frac{1}{xy},y\right) \stackrel{(0,0)}{\longleftarrow} \left(\frac{1}{xy},xy^2\right)$$

$$\stackrel{(0,a)}{\longleftarrow} \left(\frac{1}{xy},xy(xy^2-a)\right) \stackrel{(0,0)}{\longleftarrow} \left(\frac{1}{xy},x^2y^2(xy^2-a)\right)$$

$$(x,y) \stackrel{(\infty,\infty)}{\longleftarrow} \left(\frac{1}{x},\frac{x}{y}\right) \stackrel{(0,1)}{\longleftarrow} \left(\frac{1}{x},x(\frac{x}{y}-1)\right)$$

where  $\mu_i$  denotes the *i*-th blow up. Of course the above sequence is not unique since there is freedom in choosing the coordinates.

#### 2.2 Automorphism of X

We have obtained a mapping from  $Y_1$  to  $\mathbb{P}^1 \times \mathbb{P}^1$  which is lifted from  $\varphi$ . But our aim is to construct a rational surface X such that  $\varphi$  is lifted to an automorphism of X.

First we construct the rational surface  $Y_2$  such that  $\varphi$  is lifted to a regular mapping from  $Y_2$  to  $Y_1$ . For this purpose it is sufficient to eliminate the indeterminacy of mapping from  $Y_1$  to  $Y_1$ . Consequently we obtain  $Y_2$  defined by the following sequence of blow ups.

$$\left(\frac{1}{x}, x(\frac{x}{y} - 1)\right) \stackrel{(0,0)}{\longleftarrow} \left(\frac{1}{x^2(x/y - 1)}, x(\frac{x}{y} - 1)\right) \stackrel{(0,0)}{\longleftarrow} \left(\frac{1}{x^2(x/y - 1)}, x^3(\frac{x}{y} - 1)^2\right) \\
\stackrel{(0,a)}{\longleftarrow} \left(\frac{1}{x^2(x/y - 1)}, x^2(\frac{x}{y} - 1)(x^3(\frac{x}{y} - 1)^2 - a)\right) \\
\stackrel{(0,0)}{\longleftarrow} \left(\frac{1}{x^2(x/y - 1)}, x^4(\frac{x}{y} - 1)^2(x^3(\frac{x}{y} - 1)^2 - a)\right)$$

Next eliminating the indeterminacy of mapping from  $Y_2$  to  $Y_2$ , we obtain  $Y_3$  defined by the following sequence of blow ups.

$$(x,y) \stackrel{\text{at } (0,\infty)}{\longleftarrow} \left(x, \frac{1}{xy}\right) \stackrel{(0,0)}{\longleftarrow} \left(x^2y, \frac{1}{xy}\right)$$

$$\stackrel{(a,0)}{\longleftarrow} \left(xy(x^2y-a), \frac{1}{xy}\right) \stackrel{(0,0)}{\longleftarrow} \left(x^2y^2(x^2y-a), \frac{1}{xy}\right)$$

It can be shown that the mapping from  $Y_3$  to  $Y_3$  which is lifted from  $\varphi$  does not have any indeterminate points.

To show this, let us define the total and proper transforms.

**Definition.** Let S be the set of zero points of  $\bigwedge_{i\in I} f_i(u,v) = 0$ , where  $(u,v) \in \mathbb{C}^2$  and the  $\{f_i\}_{i\in I}$  is a finite set of polynomials, and let  $U_1: (u_1,v_1)$  and  $U_2: (u_2,v_2)$  the new coordinates of blow up at the point (u,v)=(a,b), i.e.  $(u_1,v_1)=(u-a,(v-b)/(u-a))$ ,  $(u_2,v_2)=((u-a)/(v-b),v-b)$ . The total transform of S is

$$\{(u_1, v_1) \in U_1; \bigwedge_i f_i(u_1 + a, u_1v_1 + b) = 0\} \cup \{(u_2, v_2) \in U_2; \bigwedge_i f_i(u_2v_2 + a, v_2 + b) = 0\}$$

and the proper transform of S is

$$\{(u_1, v_1) \in U_1; \bigwedge_i \frac{f_i(u_1 + a, u_1v_1 + b)}{u_1^m} = 0\} \cup \{(u_2, v_2) \in U_2; \bigwedge_i \frac{f_i(u_2v_2 + a, v_2 + b)}{v_2^n} = 0\}$$

where m or n is the maximum integer simplifying the respective equations for  $u_1$  or  $v_2$  respectively.

For example, by blowing up at (u, v) = (0, 0), the total transform of u = 0 is  $\{(u_1, v_1) \in U_1; u_1 = 0\} \cup \{(u_2, v_2) \in U_2; u_2v_2 = 0\}$  and its proper transform is  $\{(u_1, v_1) \in U_1; 1 = 0\} (= \phi) \cup \{(u_2, v_2) \in U_2; u_2 = 0\}$ .

We denote the total transform of the point of the *i*-th blow up by  $E_i$  and denote the proper transform of the exceptional curves of the *i*-th blow up by

$$D_0, D_1, D_2, C_0 = E_4, D_3, D_4, D_5, C_1 = E_8, D_6, D_{12}, D_7, D_8, D_9, C_2 = E_{14}.$$
 (4)

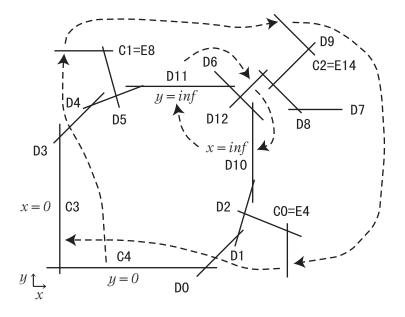


Figure 1:

Moreover we denote the proper transforms of  $x = 0, x = \infty, y = 0, y = \infty$  as

$$C_3, D_{10}, C_4, D_{11} \tag{5}$$

(See Fig 1.)

The proper transforms of  $C_4, D_0, D_1, D_2$  and  $C_0$  are written as

$$C_4: (x,y) = (x,0)$$

$$D_0: (u_1, v_1) = (u_1, 0)$$

$$D_1: (u_2, v_2) = (0, v_2)$$

$$D_2: (u_3, v_3) = (0, v_3)$$

$$C_0: (u_4, v_4) = (0, v_4)$$

where  $u_i$  and  $v_i$  are the new coordinate of the *i*-th blow up (more precisely, these express the total transforms of curves and we have to write each curve by using the coordinates of the last blow up but this makes the notation rather complicated) and therefore the relations

$$x = 1/(u_1v_1), \quad y = v_1,$$
  
 $u_1 = u_2, \qquad v_1 = u_2v_2,$   
 $u_2 = u_3, \qquad v_2 = u_3v_3 + a,$   
 $u_3 = u_4, \qquad v_3 = u_4v_4$ 

hold. The proper transforms of  $C_1, D_5, D_4, D_3$  and  $C_3$  are written as

$$C_1: \quad (u_8, v_8) = (u_8, 0)$$

$$D_5: \quad (u_7, v_7) = (u_7, 0)$$

$$D_4: \quad (u_6, v_6) = (u_6, 0)$$

$$D_3: \quad (u_5, v_5) = (0, v_5)$$

$$C_3: \quad (x, 1/y) = (0, 1/y)$$

and the relations

$$u_8 = u_7/v_7, \qquad v_8 = v_7, \\ u_7 = (u_6 - a)/v_6, \quad v_7 = v_6, \\ u_6 = u_5/v_5, \qquad v_6 = v_5, \\ u_5 = x, \qquad v_5 = 1/(xy)$$

hold.

Using these relations one can calculate the images of the curves. Foe example, in the case of  $C_4$ : From the above relations and (2) we can calculate  $(\overline{u_8}, \overline{v_8})$  using initial values corresponding to  $C_1$  as

$$(\overline{u_8}, \overline{v_8})|_{(x,y)=(x,0)} = \left( (-x+y)(a+y^2(-x+y))^2, \frac{y}{a-xy^2+y^3} \right) \Big|_{(x,y)=(x,0)}$$
$$= (-a^2x, 0)$$

This then implies that the image of  $C_4$  (=  $\overline{C_4}$ ) is  $C_1$ .

Analogously, from the equation

$$\begin{aligned} & (\overline{u_7}, \overline{v_7})|_{(u_1, v_1) = (u_1, 0)} \\ &= \left. \left( \frac{(-1 + u_1 v_1^2)(au_1 - v_1 + u_1 v_1^3)}{u_1^2}, \frac{u_1 v_1}{au_1 - v_1 + u_1 v_1^3} \right) \right|_{(u_1, v_1) = (u_1, 0)} \\ &= \left. \left( -\frac{a}{u_1}, 0 \right) \right. \end{aligned}$$

which implies  $\overline{D_0} = D_5$ . In this way we can show that

$$(D_0, D_1, D_2, D_3, D_4, D_5, D_6, D_7, D_8, D_9, D_{10}, D_{11}, D_{12}, C_0, C_1, C_2, C_4) \rightarrow (D_5, D_4, D_3, D_7, D_8, D_9, D_6, D_0, D_1, D_2, D_{11}, D_{12}, D_{10}, C_3, C_2, C_0, C_1).$$

$$(6)$$

It is obvious that this mapping has an inverse (the mapping lifted from  $\varphi^{-1}$ ). Hence we obtain the following theorem.

**THEOREM 2.1** The HV eq. (1) can be lifted to an automorphism of  $X (= Y_3)$ .

## 3 The Picard group and symmetry

## 3.1 Action on the Picard group

We denote the (linear equivalent classes of) total transform of x = constant, (or y = constant) on X by  $H_0$  (or  $H_1$  respectively) and the (linear equivalent classes of) total transform of the point of the i-th blow up by  $E_i$ . From [9] we know that the Picard group of X, Pic(X), is

$$Pic(X) = \mathbb{Z}H_0 + \mathbb{Z}H_1 + \mathbb{Z}E_1 + \cdots + \mathbb{Z}E_{14}$$

and that the canonical divisor of X,  $K_X$ , is

$$K_X = -2H_0 - 2H_1 + E_1 + \cdots + E_{14}$$

It is also known that the intersection form, i.e. the intersection numbers of pairs of base elements, is

$$H_i \cdot H_j = 1 - \delta_{i,j}, \ E_k \cdot E_l = -\delta_{k,l}, \ H_i \cdot E_k = 0$$
 (7)

where  $\delta_{i,j}$  is 1 if i = j and 0 if  $i \neq j$ , and the intersection numbers of any pairs of divisors are given by their linear combinations.

**Remark.** Let X be a rational surface. It is known that Pic(X), the group of isomorphism classes of invertible sheaves of X, is isomorphic to the following groups.

- i) The group of linear equivalent classes of divisors on X.
- ii) The group of numerically equivalent classes of divisors on X, where divisors D and D' on X are numerically equivalent if and only if for any divisors D'' on X,  $D \cdot D'' = D' \cdot D''$  holds.

Hence we identify them in this paper.

The (linear equivalent classes of) prime divisors in (4), (5) as elements of Pic(X) are described as

$$C_0 = E_4, C_1 = E_8, C_2 = E_{14}, C_3 = H_0 - E_5, C_4 = H_1 - E_1$$
 (-1 curve)

$$D_0 = E_1 - E_2$$
,  $D_1 = E_2 - E_3$ ,  $D_2 = E_3 - E_4$ ,  $D_3 = E_5 - E_6$ ,  $D_4 = E_6 - E_7$ ,  $D_5 = E_7 - E_8$ ,

$$D_6 = E_9 - E_{10}, D_7 = E_{11} - E_{12}, D_8 = E_{12} - E_{13}, D_9 = E_{13} - E_{14}$$
 (-2 curve)

$$D_{10} = H_0 - E_1 - E_2 - E_9$$
,  $D_{11} = H_1 - E_5 - E_6 - E_9$ ,  $D_{12} = E_{10} - E_{11} - E_{12}$  (-3 curve)

where by n curve we mean a curve whose self-intersection number is n. See Fig.2.

The anti-canonical divisor  $-K_X$  can be reduced uniquely (see appendix A) to prime divisors as

$$D_0 + 2D_1 + D_2 + D_3 + 2D_4 + D_5 + 3D_6 + D_7 + 2D_8 + D_9 + 2D_{10} + 2D_{11} + 2D_{12}$$
 (8)

and the connection of  $D_i$  are expressed by the following diagram.

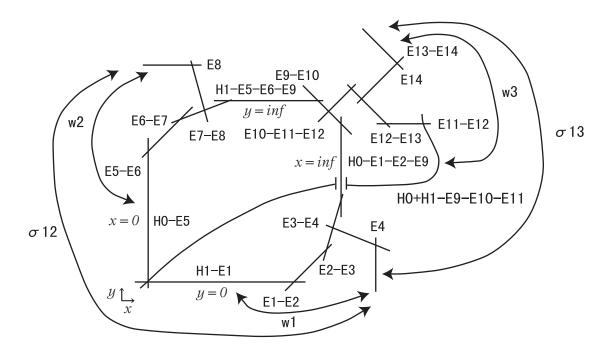


Figure 2:

The HV eq.(1) acts on curves as (6). Hence the HV eq. acts on Pic(X) as

$$\begin{pmatrix} H_{0} \\ H_{1}, E_{1}, E_{2} \\ E_{3}, E_{4}, E_{5}, E_{6} \\ E_{7}, E_{8}, E_{9}, E_{10} \\ E_{11}, E_{12}, E_{13}, E_{14} \end{pmatrix} \rightarrow \begin{pmatrix} 3H_{0} + H_{1} - E_{5} - E_{6} - E_{7} - E_{8} - E_{9} - E_{10} \\ H_{0}, H_{0} - E_{8}, H_{0} - E_{7} \\ H_{0} - E_{6}, H_{0} - E_{5}, E_{11}, E_{12} \\ E_{13}, E_{14}, H_{0} - E_{10}, H_{0} - E_{9} \\ E_{1}, E_{2}, E_{3}, E_{4} \end{pmatrix}$$
(10)

(this table means  $\overline{H_0} = 3H_0 + H_1 - E_5 - E_6 - E_7 - E_8 - E_9 - E_{10}, \overline{H_1} = H_0, \overline{E_1} = H_0 - E_8$ 

and so on) and their linear combinations.

**Remark.** Let  $\theta$  be an isomorphism from the rational surface X to the rational surface X'. Let D be a divisor and [D] its class. The class of  $\theta(D)$  coincides with the class  $\theta([D]) \in \operatorname{Pic}(X')$  and the action of  $\theta$  on  $\operatorname{Pic}(X) \cong \operatorname{Pic}(X')$  is linear.

Notice that (10) means a change of bases. Actually by fixing the basis of Pic(X) as  $\{H_0, H_1, E_1, E_2, \dots, E_{14}\}$ , this table can be expressed by the following matrix as the action from the left hand side on the space of coefficients of basis.

As we will see in Section 5, the action (10) (or (11)) provides a method to calculate the algebraic entropy of the HV eq.

#### 3.2 Cremona isometries and the root system

**Definition.** An automorphism s of Pic(X) is called a *Cremona isometry* if the following three properties are satisfied:

- a) s preserves the intersection form in Pic(X);
- b) s leaves  $K_X$  fixed;
- c) s leaves the semigroup of effective classes of divisors invariant.

In general, if a birational mapping can be lifted to an isomorphism from X to X' by blow ups, its action on the resulting Picard group is always a Cremona isometry. We will show that the group of Cremona isometries is an extended Weyl group of hyperbolic type. In the next section we will show these Cremona isometries can be realized as Cremona transformations, i.e. birational mappings, on  $\mathbb{P}^1 \times \mathbb{P}^1$ .

**L**EMMA **3.1** Let s be a Cremona isometry, then c') s is an automorphism of the diagram(9).

*Proof.* First we show that for any  $i \in \{0, 1, \dots, 12\}$  there exists  $j \in \{0, \dots, 12\}$  such that  $D_i = s(D_j)$ . Notice that  $-K_X$  can be uniquely reduced to prime divisors in the

form  $-K_X = \sum_i m_i D_i$  (see (40)) and the condition b). We have  $s(-K_X) = -K_X = \sum_i m_i s(D_i)$ , where all  $s(D_i)$  are effective divisors due to the condition c) (and moreover  $D_i \cdot D_i = s(D_i) \cdot s(D_i)$ ). By the uniqueness of decomposition of  $-K_X$ , we have that for any i there exists j such that  $D_i = s(D_j)$  and  $m_i = m_j$ . According to this fact and the condition a) we have the lemma. (Another proof is shown in [8, 13])

Let us define  $\langle D_i \rangle$  and  $\langle D_i \rangle^{\perp}$  as

$$\langle D_i \rangle = \sum_{i=0}^{12} \mathbb{Z}D_i$$

and

$$< D_i >^{\perp} = \{ \alpha \in \text{Pic}(X); \alpha \cdot D_i = 0 \text{ for } i = 0, 1, \dots, 12 \}.$$

**L**EMMA **3.2** The Cremona isometry s leaves  $\langle D_i \rangle^{\perp}$  invariant.

*Proof.* Let  $\alpha \in \langle D_i \rangle^{\perp}$ . By the condition c') we have that for any  $i \in \{0, \dots, 12\}$  there exists  $j \in \{0, \dots, 12\}$  such that  $s(\alpha) \cdot D_i = s(\alpha) \cdot s(D_j) = \alpha \cdot D_j = 0$ . It implies  $s(\alpha) \in \langle D_i \rangle^{\perp}$ .

In this case  $\langle D_i \rangle^{\perp}$  can be written as

$$< D_i >^{\perp} = < \alpha_i > := \mathbb{Z}\alpha_1 + \mathbb{Z}\alpha_2 + \mathbb{Z}\alpha_3$$

where

$$\alpha_{1} = 2H_{1} - E_{1} - E_{2} - E_{3} - E_{4}, 
\alpha_{2} = 2H_{0} - E_{5} - E_{6} - E_{7} - E_{8}, 
\alpha_{3} = 2H_{0} + 2H_{1} - 2E_{9} - 2E_{10} - E_{11} - E_{12} - E_{13} - E_{14}.$$
(12)

We consider  $< \alpha_i >$  with the intersection form to be a root lattice with a symmetric bilinear form. Let us define the transformation  $w_i (i = 1, 2, 3)$  on  $< \alpha_i >$  as

$$w_i(\alpha) = \alpha - 2\frac{\alpha_i \cdot \alpha}{\alpha_i \cdot \alpha_i} \alpha_i \tag{13}$$

for  $\alpha \in <\alpha_i>$ . The transformation  $w_i(\alpha_j)$  has the form  $w_i(\alpha_j) = \alpha_j - c_{ij}\alpha_i$ , where  $c_{ij} = 2(\alpha_i \cdot \alpha_j)/(\alpha_i \cdot \alpha_i)$ , and the matrix  $c_{ij}$  becomes a generalized Cartan matrix. Here, the generalized Cartan matrix and its Dynkin diagram are of the hyperbolic type  $H_{71}^{(3)}$  [15] as follows:

$$\begin{bmatrix} 2 & -2 & -2 \\ -2 & 2 & -2 \\ -2 & -2 & 2 \end{bmatrix} \quad \text{and} \quad (14)$$

Hence the group W generated by the actions  $w_1, w_2, w_3$ , is a Weyl group of hyperbolic type. The extended (including the full automorphism group of the Dynkin diagram) Weyl group,  $\widetilde{W}$ , is generated by

$$\{w_1, w_2, w_3, \sigma_{12}, \sigma_{13}\}\tag{15}$$

and the fundamental relations:

$$w_i^2 = \sigma_{1j}^2 = 1, \quad (\sigma_{12}\sigma_{13})^3 = 1,$$
  

$$\sigma_{12}w_1 = w_2\sigma_{12}, \quad \sigma_{12}w_2 = w_1\sigma_{12}, \quad \sigma_{12}w_3 = w_3\sigma_{12}$$
  

$$\sigma_{13}w_1 = w_3\sigma_{13}, \quad \sigma_{13}w_2 = w_2\sigma_{13}, \quad \sigma_{13}w_3 = w_1\sigma_{13}$$
(16)

where the action of  $\sigma_{12}$  or  $\sigma_{13}$  on  $<\alpha_i>$  is defined by the exchange of indices of  $\alpha_i$ ; the action of  $\sigma_{1j}$  and  $w_k$  on  $\alpha_i$  can be summarized as follows:

Moreover, by the following property we have the fact that the group of Cremona isometries is included in  $\pm \widetilde{W}$ .

**PROPOSITION 3.3** ([16] §5.10) If the generalized Cartan Matrix  $c_{ij}$  is a symmetric matrix of finite, affine, or hyperbolic type, then the group of all automorphisms of  $< \alpha_i >$  preserving the bilinear form is  $\pm \widetilde{W}$ .

**Remark.** If s is a Cremona isometry, then -s can not satisfy the condition c).

Next we consider uniqueness for the extension of action of  $\widetilde{W}$  to the action on  $\operatorname{Pic}(X)$ .

**L**EMMA **3.4** Let s and s' be Cremona isometries such that the action of s is identical to the action of s' on  $< \alpha_i >$ , then s = s' as Cremona isometries, i.e. s is identical to s' as an automorphism of Pic(X).

*Proof.* Let s and s' be Cremona isometries such that the actions of s is identical to the action of s' on  $< \alpha_i >$ . The actions of  $s' \circ s^{-1}$  on  $< \alpha_i >$  is the identity.

We investigate where the exceptional divisor  $E_4$  is moved by the action of  $s' \circ s^{-1}$ . In  $\{D_i ; i = 0, \dots, 12\}$ , only  $D_2$  has an intersection with  $E_4$ . By the condition c'),  $s' \circ s^{-1}(D_2)$  is  $D_0, D_2, D_3, D_5, D_7$  or  $D_9$  and only  $s' \circ s^{-1}(D_2)$  has an intersection with  $s' \circ s^{-1}(E_4)$  in  $\{s' \circ s^{-1}(D_i); i = 0, \dots, 12\}$ .

i) Assume  $s' \circ s^{-1}(D_2) = D_2$ .  $s' \circ s^{-1}(E_4)$  has an intersection only with  $D_2$  in  $\{D_i ; i = 0, \dots, 12\}$  (this condition on the coefficients of basis of Pic(X) can be considered to be

a system of linear equations of order 13). Then we have the general solution with three integers  $z_1, z_2, z_3$ :

$$s' \circ s^{-1}(E_4) = E_4 + z_1\alpha_1 + z_2\alpha_2 + z_3\alpha_3.$$

Multiplying this equation by  $s' \circ s^{-1}(\alpha_i) = \alpha_i$ , we have the system of linear equations:

$$\begin{cases} 1 - 4z_1 + 4z_2 + 4z_3 &= 1\\ 0 + 4z_1 - 4z_2 + 4z_3 &= 0\\ 0 + 4z_1 + 4z_2 - 4z_3 &= 0 \end{cases}.$$

It implies  $s' \circ s^{-1}(E_4) = E_4$ .

- ii) Assume  $s' \circ s^{-1}(D_2) = D_0$ . We have  $s' \circ s^{-1}(E_4) = (H_1 E_1) + z_1\alpha_1 + z_2\alpha_2 + z_3\alpha_3$ . Multiplying this equation by  $s' \circ s^{-1}(\alpha_i) = \alpha_i$ , one has that this equation does not have integer solutions.
- iii) The other cases.  $s' \circ s^{-1}(D_2) = D_3$ ,  $D_5$ ,  $D_7$  or  $D_9$  implies  $s' \circ s^{-1}(E_4) = L + z_1\alpha_1 + z_2\alpha_2 + z_3\alpha_3$ , where  $L = H_0 E_5$ ,  $E_8$ ,  $H_0 + H_1 E_9 E_{10} E_{11}$  or  $E_{14}$  respectively. This implies that this equation does not have integer solutions.

According to i),ii) and iii)  $s' \circ s^{-1}(E_4) = E_4$  and  $s' \circ s^{-1}(D_2) = D_2$ .

Analogously we have  $s' \circ s^{-1}(H_1 - E_1) = H_1 - E_1$  and  $s' \circ s^{-1}(D_0) = D_0$  and so on. Due to this fact and the condition c'),  $s' \circ s^{-1}$  must be the identity as an Cremona isometry. This implies the lemma.

Next we consider the extension of actions of elements of  $\widetilde{W}$  on  $<\alpha_i>$  to the actions on  $\operatorname{Pic}(X)$ . Let us define  $\alpha_{i,j}$   $(i=1,2,3 \ j=1,2)$  as

$$\begin{array}{ll} \alpha_{1,1} = H_1 - E_1 - E_4, & \alpha_{1,2} = H_1 - E_2 - E_3, \\ \alpha_{2,1} = H_0 - E_5 - E_7, & \alpha_{2,2} = H_0 - E_6 - E_7, \\ \alpha_{3,1} = H_0 + H_1 - E_9 - E_{10} - E_{11} - E_{14}, & \alpha_{3,2} = H_0 + H_1 - E_9 - E_{10} - E_{12} - E_{13} \end{array} \tag{18}$$

and define the action of  $\alpha_{i,j}$   $(i=1,2,3 \ j=1,2)$  on  $\alpha \in <\alpha_i>$  as

$$w_{i,j}(\alpha) := \alpha - 2 \frac{\alpha_{i,j} \cdot \alpha}{\alpha_{i,j} \cdot \alpha_{i,j}} \alpha_{i,j}$$
.

It is easy to see that  $w_i(\alpha) = w_{i,1} \circ w_{i,2}(\alpha) = w_{i,2} \circ w_{i,1}(\alpha)$ . We define the action of  $w_i$  on  $D \in \text{Pic}(X)$  as  $w_i(D) := w_{i,1} \circ w_{i,2}(D) = w_{i,2} \circ w_{i,1}(D)$ . These actions are explicitly written as follows (See Fig.2). (For brevity we did not write the invariant elements under each action.)

$$w_{1}: \begin{pmatrix} H_{0}, \\ E_{1}, E_{2}, E_{3}, E_{4} \end{pmatrix} \rightarrow \begin{pmatrix} H_{0} + 2H_{1} - E_{1} - E_{2} - E_{3} - E_{4} \\ H_{1} - E_{4}, H_{1} - E_{3}, H_{1} - E_{2}, H_{1} - E_{1} \end{pmatrix}$$

$$w_{2}: \begin{pmatrix} H_{1}, \\ E_{5}, E_{6}, E_{7}, E_{8} \end{pmatrix} \rightarrow \begin{pmatrix} 2H_{0} + H_{1} - E_{5} - E_{6} - E_{7} - E_{8} \\ H_{0} - E_{8}, H_{0} - E_{7}, H_{0} - E_{6}, H_{0} - E_{5} \end{pmatrix}$$

$$w_{3}: \begin{pmatrix} H_{0}, H_{1}, E_{9}, E_{10} \\ E_{11}, E_{12}, E_{13}, E_{14} \end{pmatrix} \rightarrow \begin{pmatrix} H_{0} + \alpha_{3}, H_{1} + \alpha_{3}, E_{9} + \alpha_{3}, E_{10} + \alpha_{3} \\ E_{11} + \alpha_{3,1}, E_{12} + \alpha_{3,2}, E_{13} + \alpha_{3,2}, E_{14} + \alpha_{3,1} \end{pmatrix}$$

$$(19)$$

We define the action of  $\sigma_{12}$  and  $\sigma_{13}$  on Pic(X) as follows.

$$\sigma_{12}: \begin{pmatrix} H_0, & H_1, & E_1, & E_2, & E_3 \\ E_4, & E_5, & E_6, & E_7, & E_8 \end{pmatrix} \rightarrow \begin{pmatrix} H_1, & H_0, & E_5, & E_6, & E_7 \\ E_8, & E_1, & E_2, & E_3, & E_4 \end{pmatrix} 
\sigma_{13}: \begin{pmatrix} H_1, & E_1, & E_2 \\ E_3, & E_4, & E_9, & E_{10} \\ E_{11}, & E_{12} & E_{13}, & E_{14} \end{pmatrix} \rightarrow \begin{pmatrix} H_0 + H_1 - E_9 - E_{10}, & E_{11}, & E_{12} \\ E_{13}, & E_{14}, & H_0 - E_{10}, & H_0 - E_9 \\ E_1, & E_2, & E_3, & E_4 \end{pmatrix}$$
(20)

By direct calculation, it is easy to check that each  $w_i$  (or  $\sigma_{1i}$ ) expressed by (19) (or (20) resp.) acts on  $< \alpha_i >$  as (13) (or as the exchanges of indices of  $\alpha_i$  resp.) and that they satisfy the fundamental relations (16) (the later property is of course guaranteed by the uniqueness of extension of  $\widetilde{W}$ ). Moreover it is also easy to check that the actions of all elements of  $\widetilde{W}$  on Pic(X) satisfy the conditions a),b) and c').

**THEOREM 3.5** The group of Cremona isometries of X is isomorphic to  $\widetilde{W}$ , where  $\widetilde{W}$  is generated by  $\{w_1, w_2, w_3, \sigma_{12}, \sigma_{13}\}$  and the fundamental relations (16). The actions of elements of  $\widetilde{W}$  on Pic(X) are given by (19) and (20) and their composition.

To show this theorem, it is enough to show that (19) and (20) satisfy the condition c). For this purpose, it is enough to realize them as isomorphisms from X to X', where X and X' have the same semigroup of classes of effective divisors. We show this fact in the next subsection.

From (19) and (20) it is straightforward to show that the action of the HV eq. on Pic(X) is identical to the action of  $w_2 \circ \sigma_{13} \circ \sigma_{12}$ .

COROLLARY 3.6 There does not exist any Cremona isometry of X whose action on Pic(X) commutes with the action of the HV eq. except  $(w_2 \circ \sigma_{13} \circ \sigma_{12})^m$ , where  $m \in \mathbb{Z}$ .

*Proof.* In this proof we denote  $\sigma_{12}$  or  $\sigma_{13}$  by  $\sigma_{2}$  or  $\sigma_{3}$  respectively and omit the symbol of composition  $\circ$ . Each element of  $\widetilde{W}$  can be uniquely written in the form

$$w_{i_1}w_{i_2}\cdots w_{i_n}s$$

where all indices of w (or  $\sigma$ ) are considered in Mod 3 (or 2 resp.) and  $i_l \neq i_{l+1}$  and  $s \in \{1, \sigma_j, \sigma_j \sigma_{j+1}, \sigma_j \sigma_{j+1} \sigma_j\}$ . Assume  $g = w_{i_1} w_{i_2} \cdots w_{i_n} s$  commutes with  $w_2 \sigma_3 \sigma_2$ .

i) The case of s = 1. According to the relation

$$w_2\sigma_3\sigma_2w_{i_1}w_{i_2}\cdots w_{i_n} = w_{i_1}w_{i_2}\cdots w_{i_n}w_2\sigma_3\sigma_2,$$

we have the relation

$$w_2w_{i_1+1}w_{i_2+1}\cdots w_{i_n+1}\sigma_3\sigma_2 = w_{i_1}w_{i_2}\cdots w_{i_n}w_2\sigma_3\sigma_2.$$

It implies  $i_1 \equiv 2, i_2 \equiv 3, \dots, i_n \equiv n+1, 2 \equiv n+2$  and therefore there exists the integer m such that n=3m. On the other hand  $(w_2\sigma_3\sigma_2)^{3m}=w_2w_3\cdots w_{n+1}$ . It implies  $g=(w_2\sigma_3\sigma_2)^{3m}$ .

- ii) The case of  $s = \sigma_3 \sigma_2$  or  $\sigma_2 \sigma_3$ . Similar to the case i), n must be n = 3m + 1 or n = 3m + 2 respectively and g becomes  $(w_2 \sigma_3 \sigma_2)^n$ .
  - iii) The case of  $s = \sigma_j$ . Suppose j = 2. According to the relation

$$w_2\sigma_3\sigma_2w_{i_1}w_{i_2}\cdots w_{i_n}\sigma_2=w_{i_1}w_{i_2}\cdots w_{i_n}\sigma_2w_2\sigma_3\sigma_2,$$

we have the relation

$$w_2w_{i_1+1}w_{i_2+1}\cdots w_{i_n+1}\sigma_3 = w_{i_1}w_{i_2}\cdots w_{i_n}w_1\sigma_2\sigma_3\sigma_2.$$

It implies  $\sigma_3 = \sigma_2 \sigma_3 \sigma_2$  but this is a contradiction. Similarly  $s = \sigma_3$  is impossible.

v) The case  $s = \sigma_j \sigma_{j+1} \sigma_j$ . Suppose j = 2. Similar to the case iii), we have the relation

$$w_2 w_{i_1+1} w_{i_2+1} \cdots w_{i_n+1} \sigma_2 = w_{i_1} w_{i_2} \cdots w_{i_n} w_3 \sigma_2 \sigma_3 \sigma_2 \sigma_3 \sigma_2.$$

It implies  $\sigma_2 = \sigma_2 \sigma_3 \sigma_2 \sigma_3 \sigma_2$  and hence  $1 = \sigma_3 \sigma_2 \sigma_3 \sigma_2$  which leads to a contradiction. Similarly it can be shown that  $s = \sigma_3 \sigma_2 \sigma_3$  is impossible.

## 4 The inverse problem

A birational mapping is called a Cremona transformation. One method for obtaining a Cremona transformation such that its action on Pic(X) is a Cremona isometry is to interchange the blow down structures, i.e. to interchange the procedure of blow downs. Following this method, we construct the Cremona transformations on  $\mathbb{P}^1 \times \mathbb{P}^1$  which yield the extended Weyl group (15), (16) and thereby recover the HV eq. from its action on Pic(X).

An element of  $\widetilde{W}$  is an automorphism of  $\operatorname{Pic}(X)$  but does not have to be an automorphism of X itself, i.e. the blow up points can be changed with a transformation satisfying the condition a),b) and c) in Section 3.2. In order to do this, one has to consider not only autonomous but also non-autonomous mappings.

By  $a_0, a_1, a_2, a_3, a_4, a_5, a_6$  or  $a_7$  we denote the point of the 10, 3, 4, 7, 8, 11, 13, 14-th blow up or the corresponding coordinates and we call them "the parameters". In short these points can be expressed as follows:

$$\left(\frac{1}{xy}, xy^2\right) = (0, a_1), \quad \left(\frac{1}{xy}, xy(xy^2 - a_1)\right) = (0, a_2), 
\left(x^2y, \frac{1}{xy}\right) = (a_3, 0), \quad \left(xy(x^2y - a_3), \frac{1}{xy}\right) = (a_4, 0), 
\left(\frac{1}{x}, \frac{x}{y}\right) = (0, a_0), \quad \text{where we normalize } a_0 \text{ to be } a_0 = 1, 
\left(\frac{1}{x}, x(\frac{x}{y} - 1)\right) = (0, a_5), \quad \left(\frac{1}{x(x(\frac{x}{y} - 1) - a_5)}, x(x(\frac{x}{y} - 1) - a_5)^2\right) = (0, a_6), 
\left(\frac{1}{x(x(\frac{x}{y} - 1) - a_5)}, x(x(\frac{x}{y} - 1) - a_5)\left\{x(x(\frac{x}{y} - 1) - a_5)^2 - a_6\right\}\right) = (0, a_7),$$

where  $a_i \in \mathbb{C}$  and  $a_1, a_3, a_6$  are nonzero.

The point of the 2, 6, 12-th blow up is determined by intersection numbers. Moreover the point of the 1, 5, 9, 10, 11-th blow up can be fixed by acting with a suitable automorphism of  $\mathbb{P}^1 \times \mathbb{P}^1$ , i.e. a Möbius transformation of each coordinate combination with an exchange of the coordinates. We call this operation "normalization". It can also be seen that we can normalize  $a_5 = 1$  except the case  $a_5 = 0$ .

In this section we consider a realization of the generating elements of  $\widetilde{W}$  as Cremona transformations which can be lifted to isomorphisms from X to  $\overline{X}$ , where  $\overline{X}$  is the same rational surface as X except for a difference in parameters.

First we realize the action of  $w_2$  as a Cremona transformation on  $\mathbb{P}^1 \times \mathbb{P}^1$ 

#### 4.1 The calculation of interchanging the blow down structure

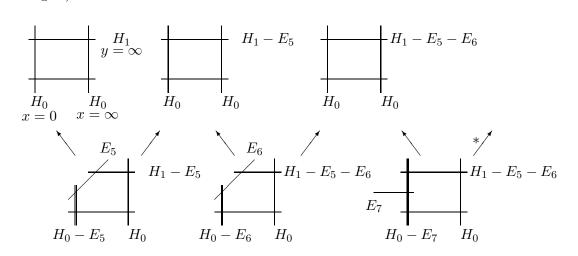
In the following we shall present a scheme in which the blow down structure is changed. This method is based on the following fact: By  $F_n$  we denote the n-th Hirzebruch surface with the coordinate system

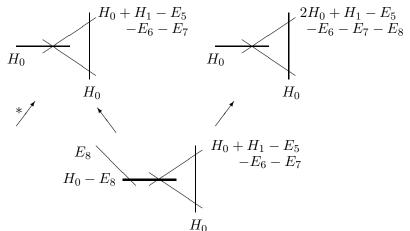
$$(\bigcirc, \triangle) \cup (\bigcirc, \frac{1}{\triangle}) \cup (\frac{1}{\bigcirc}, \bigcirc^n \triangle) \cup (\frac{1}{\bigcirc}, \frac{1}{\bigcirc^n \triangle}). \tag{21}$$

Blowing up the *n*-th Hirzebruch surface at the point  $(1/\bigcirc, \bigcirc^n \triangle) = (0,0)$  and blowing down along the line  $1/\bigcirc = 1/(\bigcirc^{n+1}\triangle) = 0$ , we obtain the n+1-th Hirzebruch surface as follows:

On the other hand, blowing up the *n*-th Hirzebruch surface at the point  $(1/\bigcirc, 1/(\bigcirc^n \triangle)) = (0,0)$  and blowing down along the line  $1/\bigcirc = \bigcirc^{n-1} \triangle = 0$ , we obtain the n-1-th Hirzebruch surface as follows:

The Next figure shows the order of the blow ups and the blow downs to obtain the Cremona transformation corresponding to  $w_2$ . (This table has to be read from the left to the right.)





 $H_0$  where double lines mean the lines which are blown down in the next steps.

The calculation of changing the blow down structure is as follows.

$$(x,y) \cup (x,\frac{1}{y}) \cup (\frac{1}{x},y) \cup (\frac{1}{x},\frac{1}{y}) = \mathbb{P}^1 \times \mathbb{P}^1$$

$$\leftarrow (x,y) \cup (x,\frac{1}{xy}) \cup (xy,\frac{1}{y}) \cup (\frac{1}{x},y) \cup (\frac{1}{x},\frac{1}{y})$$

$$\rightarrow (x,xy) \cup (x,\frac{1}{x^2y}) \cup (x^2y,\frac{1}{xy}) \cup (\frac{1}{x},y) \cup (\frac{1}{x},\frac{1}{y})$$

$$\rightarrow (x,x^2y) \cup (x,\frac{1}{x^2y}) \cup (x^2y,\frac{1}{xy}) \cup (\frac{1}{x},y) \cup (\frac{1}{x},\frac{1}{y})$$

$$\rightarrow (x,x^2y - a_3) \cup (x,\frac{1}{x^2y - a_3}) \cup (\frac{1}{x},\frac{x^2y - a_3}{x^2}) \cup (\frac{1}{x},\frac{x^2y - a_3}{x^2y - a_3}) \cup (\frac{1}{x},\frac{x^2y - a_3}{x^2y - a$$

where  $\cdots$  is

$$\left(\frac{1}{x}, \frac{a_3(x^2y - a_3) - a_4x}{a_3x^2}\right) \cup \left(\frac{1}{x}, \frac{a_3x^2}{a_3(x^2y - a_3) - a_4x}\right)$$

and  $\sim$  means an automorphism of the Hirzebruch surface and is determined as the point of blow up in (21) is moved to the origin.

Writing

$$w_2': (x,y) \mapsto (x,y - \frac{a_3}{x^2} - \frac{a_4}{a_3x})$$

we obtain  $w_2 = t \circ w_2'$  where t is an automorphism of  $\mathbb{P}^1 \times \mathbb{P}^1$ . By taking a suitable t, we can normalize  $w_2$  to get the required result.

### 4.2 Normalization and the action on the space of parameters

First we determine the automorphism for normalization t. By (19),  $w_2$  does not move the points  $(x,y)=(\infty,0),(\infty,\infty)$ . According to the fact:  $w_2':(\infty,0)\mapsto(\infty,0),(\infty,\infty)\mapsto(\infty,\infty)$ , t is reduced to the mapping  $t:(x,y)\mapsto(c_1x+c_2,c_3y)$ , where  $c_1,c_2,c_3\in\mathbb{C}$  are nonzero constants.

Similarly,  $w_2$  moves the point  $a_3$  to the proper transform of the point of the 6-th blow up. We denote this fact as

$$(\overline{u_6}, \overline{v_6})|_{(u_6, v_6) = (a_3, 0)} = (0, 0),$$

where  $(u_n, v_n)$  is the coordinate of the *n*-th blow up. On the other hand,

$$\begin{aligned}
& (\overline{u_6}, \overline{v_6})|_{(u_6, v_6) = (a_3, 0)} \\
&= \left( \bar{x}^2 \bar{y}, \frac{1}{\bar{x} \bar{y}} \right) \Big|_{(u_6, v_6) = (a_3, 0)} \\
&= \left( x^2 \left( y - \frac{a_3}{x^2} - \frac{a_4}{a_3 x} \right), \frac{a_3 x}{a_3 (x^2 y - a_3) - a_4 x} \right) \Big|_{(u_6, v_6) = (a_3, 0)} \\
&= \left( -a_3 + u_6 - \frac{a_4 u_6 v_6}{a_3}, -\frac{a_3 u_6 v_6}{a_3^2 - a_3 u_6 + a_4 u_6 v_6} \right) \Big|_{(u_6, v_6) = (a_3, 0)} \\
&= (0, 0) \end{aligned}$$

holds. Hence t does not move the point  $(x, y) = (0, \infty)$  and therefore  $c_2 = 0$ .

Similarly, since  $w_2$  does not move the points of the 10-th and the 11-th blow ups, we have  $c_1 = c_3 = 1$  (moreover we can normalize  $a_5$  to be  $a_5 = 1$  or  $a_5 = 0$  by taking a suitable value of  $c_1 = c_3$ ). Hence t has to be the identity.

Next we calculate how the parameters  $a_1, a_2, a_3, a_4, a_6, a_7$  are changed by the action of  $w_2$ . Notice that  $w_2$  is an isomorphism from X to X', where  $w_2$  satisfy the conditions a),b) and c) in Section 3.2. and therefore X and X' have the same sequence of blow ups except their parameters. By  $\overline{a_i}$  we denote the parameter of the i-th blow up of X'.

Since the action of  $w_2$  moves the points of blow ups as follows

$$(u_{2}, v_{2}) = (0, a_{1}) \mapsto (\overline{u_{2}}, \overline{v_{2}}) = (0, \overline{a_{1}})$$

$$(u_{3}, v_{3}) = (0, a_{2}) \mapsto (\overline{u_{3}}, \overline{v_{3}}) = (0, \overline{a_{2}})$$

$$(u_{6}, v_{6}) = (0, 0) \mapsto (\overline{u_{6}}, \overline{v_{6}}) = (\overline{a_{3}}, 0)$$

$$(xy, 1/y) = (0, 0) \mapsto (\overline{u_{7}}, \overline{v_{7}}) = (\overline{a_{4}}, 0)$$

$$(u_{12}, v_{12}) = (0, a_{6}) \mapsto (\overline{u_{12}}, \overline{v_{12}}) = (0, \overline{a_{6}})$$

$$(u_{13}, v_{13}) = (0, a_{7}) \mapsto (\overline{u_{13}}, \overline{v_{13}}) = (0, \overline{a_{7}}),$$

 $\overline{a_i}$  can be calculated. For example  $\overline{a_1}$  is calculated as follows

$$\begin{array}{lcl} (0,\overline{a_{1}}) & = & (\overline{u_{2}},\overline{v_{2}})|_{(u_{2},v_{2})=(0,a_{1})} \\ \\ & = & (\frac{1}{\bar{x}\bar{y}},\bar{x}\bar{y}^{2})\Big|_{(u_{2},v_{2})=(0,a_{1})} \\ \\ & = & \left(\frac{a_{3}u_{2}}{a_{3}-a_{4}u_{2}-a_{3}^{2}u_{2}^{3}v_{2}},\frac{v_{2}(-a_{3}+a_{4}u_{2}+a_{3}^{2}u_{2}^{3}v_{2})^{2}}{a_{3}^{2}}\right)\Big|_{(u_{2},v_{2})=(0,a_{1})} \\ \\ & = & (0,a_{1}), \end{array}$$

and therefore  $\overline{a_1} = a_1$ .

Similarly we can calculate  $\overline{a_2}$ ,  $\overline{a_3}$ ,  $\overline{a_4}$  as follows:

$$(0, \overline{a_2}) = \left. \left( \frac{1}{\bar{x}\bar{y}}, \bar{x}\bar{y}(\bar{x}\bar{y}^2 - \overline{a_1}) \right|_{(u_3, v_3) = (0, a_2)}$$
$$= (0, a_2 - \frac{a_1 a_4}{a_3}),$$

$$(\overline{a_3}, 0) = (\bar{x}^2 \bar{y}, \frac{1}{\bar{x}\bar{y}}) \Big|_{(u_6, v_6) = (0, 0)}$$
  
=  $(-a_3, 0),$ 

$$(\overline{a_4}, 0) = (\bar{x}\bar{y}(\bar{x}^2\bar{y} - \overline{a_3}), \frac{1}{\bar{x}\bar{y}})\Big|_{(xy,1/y)=(0,0)}$$
  
=  $(a_4, 0)$ .

Consequently  $w_2$  changes the parameters  $a_i$  as

$$\overline{a_1} = a_1, \quad \overline{a_2} = a_2 - 2a_1a_4/a_3, \quad \overline{a_3} = -a_3, \qquad \overline{a_4} = a_4, \\ \overline{a_5} = a_5, \quad \overline{a_6} = a_6, \qquad \overline{a_7} = a_7 + 2a_4a_6/a_3 \quad .$$

We write the action of  $w_2$  on  $\mathbb{P}^1 \times \mathbb{P}^1$  and the space of parameters together as

$$w_{2}: \qquad (x, y; a_{1}, a_{2}, a_{3}, a_{4}, a_{5}, a_{6}, a_{7})$$

$$\mapsto (\overline{x}, \overline{y}; \overline{a_{1}}, \overline{a_{2}}, \overline{a_{3}}, \overline{a_{4}}, \overline{a_{5}}, \overline{a_{6}}, \overline{a_{7}})$$

$$= \left(x, y - \frac{a_{3}}{x^{2}} - \frac{a_{4}}{a_{3}x}; a_{1}, a_{2} - \frac{2a_{1}a_{4}}{a_{3}}, -a_{3}, a_{4}, a_{5}, a_{6}, a_{7} + \frac{2a_{4}a_{6}}{a_{3}}\right). \tag{22}$$

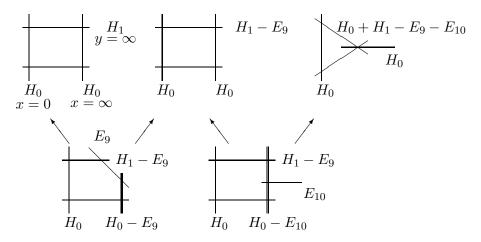
Here, in the calculation of the next iteration step we have to use  $\overline{a_3} = -a_3$  instead of  $a_3$  and so on.

As was remarked before the mapping  $w_2$  is of order 2 as an element of an extended Weyl group and can be lifted to an isomorphism from X to  $\overline{X}$ .

#### 4.3 The actions of other elements

Next we calculate the action of  $\sigma_{13}$  from X to  $\overline{X}$ .

The following figure shows the order of the blow ups and the blow downs.



Its calculation is as follows.

$$(x,y) \cup (x,\frac{1}{y}) \cup (\frac{1}{x},y) \cup (\frac{1}{x},\frac{1}{y}) = \mathbb{P}^{1} \times \mathbb{P}^{1}$$

$$\leftarrow (x,y) \cup (x,\frac{1}{y}) \cup (\frac{1}{x},y) \cup (\frac{1}{x},\frac{x}{y}) \cup (\frac{y}{x},\frac{1}{y})$$

$$\rightarrow (x,y) \cup (x,\frac{1}{y}) \cup (\frac{1}{x},\frac{y}{x}) \cup (\frac{1}{x},\frac{x}{y}) = F_{1}$$

$$\sim (x,y-x) \cup (x,\frac{1}{y-x}) \cup (\frac{1}{x},\frac{y-x}{x}) \cup (\frac{1}{x},\frac{x}{y-x}) = F_{1}$$

$$\leftarrow (x,y-x) \cup (x,\frac{1}{y-x}) \cup (\frac{1}{x},y-x) \cup (\frac{1}{y-x},\frac{y-x}{x}) \cup (\frac{1}{x},\frac{x}{y-x})$$

$$\rightarrow (x,y-x) \cup (x,\frac{1}{y-x}) \cup (\frac{1}{x},y-x) \cup (\frac{1}{x},\frac{1}{y-x}) = \mathbb{P}^{1} \times \mathbb{P}^{1}$$

Similar to the case of  $w_2$ , we have the action of  $\sigma_{13}$  on X and the space of parameters as follows

$$\sigma_{13}: \qquad (x, y; a_1, a_2, a_3, a_4, a_5, a_6, a_7) \\ \mapsto (x, x - y - a_5; a_6, a_7 - 2a_5^2 a_6, -a_3, a_4, a_5, a_1, a_2 + 2a_1 a_5^2). \tag{23}$$

Similarly the action of  $\sigma_{12}$  on X and the space of parameters is

$$\sigma_{12}: \qquad (x, y; a_1, a_2, a_3, a_4, a_5, a_6, a_7) \\ \mapsto (-y, -x; -a_3, -a_4, -a_1, -a_2, a_5, -a_6, a_7 - 4a_5^2 a_6). \tag{24}$$

The action of  $w_1$  or  $w_3$  is determined by the relation  $w_1 = \sigma_{12} \circ w_2 \circ \sigma_{12}$  or  $w_3 = \sigma_{13} \circ w_1 \circ \sigma_{13}$  respectively as follows

$$w_1: \qquad (x, y; a_1, a_2, a_3, a_4, a_5, a_6, a_7)$$

$$\mapsto \left(x - \frac{a_1}{y^2} - \frac{a_2}{a_1 y}, y; -a_1, a_2, a_3, a_4 - \frac{2a_2 a_3}{a_1}, a_5, a_6, a_7 + \frac{2a_2 a_6}{a_1}\right). \tag{25}$$

$$w_{3}: (x, y; a_{1}, a_{2}, a_{3}, a_{4}, a_{5}, a_{6}, a_{7})$$

$$\mapsto \left(x - \frac{a_{6}}{(x - y - a_{5})^{2}} - \frac{a_{7} - 2a_{5}^{2}a_{6}}{a_{6}(x - y - a_{5})}, y - \frac{a_{6}}{(x - y - a_{5})^{2}} - \frac{a_{7} - 2a_{5}^{2}a_{6}}{a_{6}(x - y - a_{5})}; \right.$$

$$a_{1}, a_{2} + \frac{2a_{1}(a_{7} - 2a_{5}^{2}a_{6})}{a_{6}}, a_{3}, a_{4} + \frac{2a_{3}(a_{7} - 2a_{5}^{2}a_{6})}{a_{6}}, a_{5}, -a_{6}, a_{7} - 4a_{5}^{2}a_{6}\right). \tag{26}$$

### 4.4 The non-autonomous HV equation

The composition  $w_2 \circ \sigma_{13} \circ \sigma_{12}$  is reduced to

$$w_{2} \circ \sigma_{13} \circ \sigma_{12} \qquad : (x, y; a_{1}, a_{2}, a_{3}, a_{4}, a_{5}, a_{6}, a_{7})$$

$$\mapsto (-y, x - y - a_{5} - \frac{a_{1}}{y^{2}} - \frac{a_{2}}{a_{1}y};$$

$$-a_{6}, a_{7} - 2a_{5}^{2}a_{6} - \frac{2a_{2}a_{6}}{a_{1}}, -a_{1}, -a_{2}, a_{5}, -a_{3}, -a_{4} - 2a_{3}a_{5}^{2} + \frac{2a_{2}a_{3}}{a_{1}})$$

$$(27)$$

where  $a_5$  can be normalized to be  $a_5 = 0$  or 1.

Of course this mapping satisfies the singularity confinement criterion by construction and in the case of  $a_2 = a_4 = a_5 = a_7 = 0$  and  $a_1 = a_3 = a_6 = a$  it coincides with the HV eq.(1) except their signs. The difference between them comes from the assumption  $\overline{a_5} = a_5$ . Assuming  $\overline{a_5} = -a_5$  under the actions of  $w_2$ ,  $\sigma_{13}$  and  $\sigma_{12}$ , we have  $-w_2$ ,  $-\sigma_{13}$  and  $-\sigma_{12}$  as new  $w_2$ ,  $\sigma_{13}$  and  $\sigma_{12}$  and therefore (27) becomes as follows

$$w_{2} \circ \sigma_{13} \circ \sigma_{12} \qquad : (x, y; a_{1}, a_{2}, a_{3}, a_{4}, a_{5}, a_{6}, a_{7})$$

$$\mapsto (y, -x + y + a_{5} + \frac{a_{1}}{y^{2}} + \frac{a_{2}}{a_{1}y};$$

$$a_{6}, -a_{7} + 2a_{5}^{2}a_{6} + \frac{2a_{2}a_{6}}{a_{1}}, a_{1}, a_{2}, -a_{5}, a_{3}, a_{4} + 2a_{3}a_{5}^{2} - \frac{2a_{2}a_{3}}{a_{1}}).$$

$$(28)$$

Actually in the case of  $a_2 = a_4 = a_5 = a_7 = 0$  and  $a_1 = a_3 = a_6 = a$  it coincides with the HV eq.(2).

## 5 Algebraic entropy

## 5.1 Algebraic entropy and intersection numbers

In this section we consider the algebraic entropy which has been introduced by Hietarinta and Viallet to describe the complexity of rational mappings [2].

We define the degree of polynomial of one variable  $f(t) = \sum_{m} a_t t^m$  as

$$\deg_t(f(t)) = \max\{m \; ; \; a_m \neq 0\}$$

and the degree of a polynomial of two variable  $f(x,y) = \sum_{m,n} a_{m,n} x^m y^n$  as

$$\deg(f(x,y)) = \max\{m+n \; ; \; a_{m,n} \neq 0\}.$$

The degree of an irreducible rational function P(x, y) = f(x, y)/g(x, y), where f(x, y) and g(x, y) are polynomials, is defined by

$$\deg(P) = \max\{\deg f(x, y), \deg g(x, y)\}.$$

The degree of a mapping  $\varphi:(x,y)\mapsto (P(x,y),Q(x,y))$ , where P(x,y) and Q(x,y) are rational functions, is defined by

$$\deg(\varphi) = \max\{\deg P(x, y), \deg Q(x, y)\}\$$

and similarly for  $\deg_t(P)$ .

The algebraic entropy  $h(\varphi)$ , where  $\varphi$  is a mapping from  $\mathbb{P}^1 \times \mathbb{P}^1$  to itself, is defined by

$$h(\varphi) = \lim_{n \to \infty} \frac{1}{n} \log \deg(\varphi^n)$$

if this limit exists.

**Remark.** If one would prefer to discuss the mapping in  $\mathbb{P}^2$  instead of  $\mathbb{P}^1 \times \mathbb{P}^1$ , it is sufficient to note that we can relate a mapping  $\varphi'$ :  $(X,Y,Z) \in \mathbb{P}^2 \mapsto (\overline{X},\overline{Y},\overline{Z}) \in \mathbb{P}^2$  with a mapping  $\varphi$ :  $(x,y) \in \mathbb{P}^1 \times \mathbb{P}^1 \to (\overline{x},\overline{y}) \in \mathbb{P}^1 \times \mathbb{P}^1$  by using the relations x = X/Z, y = Y/Z and  $\overline{x} = \overline{X}/\overline{Z}$ ,  $\overline{y} = \overline{Y}/\overline{Z}$  and by reducing to a common denominator. We denote the n-th iterate of  $\varphi'$  by  $(f_n(X,Y,Z),g_n(X,Y,Z),h_n(X,Y,Z))$  where  $f_n,g_n,h_n$  are polynpmials with the same degree and should be simplified if possible. The algebraic entropy  $h(\varphi)$  then coincides with  $h(\varphi')$ , where  $h(\varphi')$  is defined by  $\deg(\varphi'^n) = \deg f_n(=\deg g_n = \deg h_n)$  and  $\lim_{n\to\infty} \deg(\varphi')$ .

We show that we can calculate the degree of the n-th iterate and thus the entropy of mapping by using the theory of intersection numbers.

Let  $\{X_i\}$  be a sequence of rational surfaces obtained by blow ups from  $\mathbb{P}^1 \times \mathbb{P}^1$  and let  $\varphi_i(x,y)$  be an isomorphism from  $X_{i-1}$  to  $X_i$ . We write the action of

$$\varphi_n \circ \varphi_{n-1} \circ \cdots \circ \varphi_1$$

on  $\mathbb{P}^1 \times \mathbb{P}^1$  as  $(P_n(x,y), Q_n(x,y))$ .

Let us define the curve L in X as y/x=c, where  $c\in\mathbb{C}$  is a nonzero constant. Notice that

$$\deg_t(P_n(t,ct)) = \deg(P_n(x,y)) \tag{29}$$

holds for generic c.

By the fundamental theorem of algebra,  $\deg_t(P_n(t,ct))$  coincides with the intersection number of the curve  $x=P_n(t,ct)$  and the curve x=d in  $\mathbb{P}^1\times\mathbb{P}^1$ , where  $d\in\mathbb{C}$  is a constant.

The class of the curve (or class) x = d is expressed as  $H_0$  in Pic(X) and hence (see (7)) this intersection number coincides with the coefficient of  $H_1$  of the class of the curve  $\varphi^n(L)$  for nonzero constant c. Analogously, the intersection number of the curve  $y = Q_n(t, ct)$  and the curve y = d coincides with the coefficient of  $H_0$  of the class of the curve  $\varphi^n(L)$  for any nonzero constant c.

Notice that for any isomorphism  $\theta$  from the rational surface X to the rational surface X' and any divisor D, the relation

$$[\theta(D)] = \theta([D])$$

holds, where [\*] means the class of \* and in the right hand side  $\theta$  is the linear operator on Pic(X) (= Pic(X')) which is induced by the isomorphism  $\theta$ .

In our case, we have

Consequently we have the following theorem.

$$[\varphi_n \circ \cdots \circ \varphi_1(L)] = \varphi_n \circ \cdots \circ \varphi_1([L])$$

and therefore writing the coefficients of  $H_0$  and  $H_1$  of  $\varphi_n \circ \cdots \circ \varphi_1([L])$  as  $h_n^0, h_n^1$ , we have the relation

$$\deg_t(P_n(t,ct)) = h_n^1 \quad \deg_t(Q_n(t,ct)) = h_n^0$$

for any nonzero constant  $c \in \mathbb{C}$ . On the other hand it can be seen that the relation (29) holds for  $c = c_0$  such that [L] is invariant under infinitesimal change of c around  $c_0$ , i.e. [L] is generic for the parameter c. (Suppose that  $P_n(t,ct)$  would suddenly be simplified for certain values of c and that [L] is invariant under infinitesimal change of c. The intersection number of the curve  $x = P_n(t,ct)$  and the curve x = d would then change but  $\varphi([L])$  itself would still be invariant, which leads to a contradiction. Similarly for the case of  $Q_n(t,ct)$ .)

THEOREM **5.1** Let  $\{X_i\}$  be a sequence of rational surfaces obtained by blow ups from  $\mathbb{P}^1 \times \mathbb{P}^1$  and let  $\varphi_i(x,y)$  be an isomorphism from  $X_{i-1}$  to  $X_i$ . We denote the action of  $\varphi_n \circ \cdots \circ \varphi_1$  on  $\mathbb{P}^1 \times \mathbb{P}^1$  by  $(P_n(x,y), Q_n(x,y))$ . Let [L] be the class of curve x = cy in  $X_0$  such that [L] is generic and let  $h_n^0, h_n^1$  be the coefficients of  $H_0$  and  $H_1$  of  $\varphi_n \circ \cdots \circ \varphi_1([L])$ . The formula

$$\deg(P_n(x,y)) = h_n^1 \quad \deg(Q_n(x,y)) = h_n^0.$$

then holds.

**Remark.** As before if  $\{X'_i\}$  is a sequence of rational surfaces obtained by blow ups from  $\mathbb{P}^2$  (instead of  $\mathbb{P}^1 \times \mathbb{P}^1$ ) and  $\varphi_i(x,y)$  is an isomorphism from  $X'_{i-1}$  to  $X'_i$ , we can consider the degree of the mapping

$$\varphi'_n \circ \cdots \circ \varphi'_1 : \mathbb{P}^2 \to \mathbb{P}^2.$$

We denote the class of a curve aX + bY + cZ = 0 in  $\mathbb{P}^2$  by  $\mathcal{E}$ . Notice that  $\mathcal{E}$  is always generic for parameters a, b, c in  $X_i$ . Similar to the case of  $\mathbb{P}^1 \times \mathbb{P}^1$ , we have the fact that the degree of  $\varphi'_n \circ \cdots \circ \varphi'_1$  coincides with the coefficient of  $\mathcal{E}$  of  $\varphi'_n \circ \cdots \circ \varphi'_1(\mathcal{E})$ .

## 5.2 The case of the HV eq.

It is known [2] that the algebraic entropy of the HV eq.  $\varphi$  is equal to  $\log(3 + \sqrt{5})/2$ . Here we shall recover the algebraic entropy of the HV eq. by using the theory of intersection numbers.

The curve L: x = cy, where  $c \in \mathbb{C}$  is nonzero constant, is expressed by  $H_0 + H_1 - E_9$  in Pic(X) if  $c \neq 1$ . This fact is easily calculated from the fact that L has intersections only with  $H_0, H_1$  and  $E_9$  at one time.

The action of  $\varphi$  on  $\operatorname{Pic}(X)$  is given by (10) or (11). Hence the algebraic entropy of the HV eq.,  $\lim_{n\to\infty} \frac{1}{n} \log \max\{h_n^0, h_n^1\}$ , can be shown to be equal to (by diagonalization of the matrix (11)):

$$\log \max\{|\text{ eigenvalues of (11)}|\} = \log \frac{3+\sqrt{5}}{2}.$$

On the level of the mapping itself, the degrees can be calculated as follows:

$$(x,y) \xrightarrow{\varphi} (y, \frac{-xy^2 + y^3 + a}{y^2}) \xrightarrow{\varphi} (\deg 3, \deg 9)$$

$$\xrightarrow{\varphi} (\deg 9, \deg 25) \xrightarrow{\varphi} (\deg 25, \deg 67) \xrightarrow{\varphi}. \tag{30}$$

On the other hand, the intersection numbers can be calculated by (10) or (11) as follows:

$$\begin{array}{ccc}
H_{0} + H_{1} - E_{9} & \xrightarrow{\varphi} & 3H_{0} + H_{1} - E_{5} - E_{6} - E_{7} - E_{8} - E_{9} \\
\xrightarrow{\varphi} & 9H_{0} + 3H_{1} + \dots + (-3)E_{9} + \dots \\
\xrightarrow{\varphi} & 25H_{0} + 9H_{1} + \dots + (-7)E_{9} + \dots \\
\xrightarrow{\varphi} & 67H_{0} + 25H_{1} + \dots + (-19)E_{9} + \dots \\
\xrightarrow{\varphi} & & & & & & & & & \\
\end{array}$$

which actually coincides with (30).

By the corresponding mapping in  $\mathbb{P}^2$ , the degrees are

$$(X, Y, Z) \xrightarrow{\varphi'} (Y^3, -XY^2 + Y^3 + aZ^3, Y^2Z) \xrightarrow{\varphi'} \deg 9$$

$$\xrightarrow{\varphi'} \deg 27 \xrightarrow{\varphi'} \deg 73 \xrightarrow{\varphi'}. \tag{31}$$

Using the correspondence  $\mathcal{E} = H_0 + H_1 - E_9$  (it is shown that  $\mathcal{E} : aX + bY + cZ = 0$  actually has this correspondence in appendix B), we have that the curve has the property

$$\varphi^{n}(\mathcal{E}) \cdot \mathcal{E} = \varphi^{n}(H_{0} + H_{1} - E_{9}) \cdot (H_{0} + H_{1} - E_{9})$$

$$= (h_{0}H_{0} + h_{1}H_{1} + e_{1}E_{1} + \dots + e_{14}E_{14}) \cdot (H_{0} + H_{1} - E_{9})$$

$$= h_{0} + h_{1} + e_{9},$$

where  $e_i \in \mathbb{Z}$  is the coefficient of  $E_i$ . Hence we have the sequence of the coefficients of  $\mathcal{E}$  as

$$1 \xrightarrow{\varphi'} 3 \xrightarrow{\varphi'} 9 \xrightarrow{\varphi'} 27 \xrightarrow{\varphi'} 73 \xrightarrow{\varphi'}$$

which coincides with (31).

**Remark.** The matrix (11) is an expression of the action  $\varphi$  on  $\operatorname{Pic}(X)$  by the basis  $\{H_0, H_1, E_1, \dots, E_{14}\}$ . But we already have a better basis for calculation of the degree of  $\varphi_n$  (we may consider linear spaces to be on  $\mathbb C$  instead of on  $\mathbb Z$  for this purpose). That is the basis  $\{D_0, D_1, \dots, D_{12}, \alpha_1, \alpha_2, \alpha_3\}$ . The reason is that  $\langle D_i \rangle$  and  $\langle \alpha_i \rangle$  are eigenspaces of  $\varphi$  and compliment each other. Moreover the action of  $\varphi$  on  $\langle D_i \rangle$  is just a permutation. Hence it is enough to investigate the action on  $\langle \alpha_i \rangle$  in order to know the level of growth of  $\deg(\varphi_n)$ .

According to (17), by writing an element of  $<\alpha_i>$  as  $r_1\alpha_1+r_2\alpha_2+r_3\alpha_3$ , the action of  $\varphi$  (=  $w_2 \circ \sigma_{13} \circ \sigma_{12}$ ) on  $<\alpha_i>$  is expressed as

$$\begin{pmatrix}
\overline{r_1} \\
\overline{r_2} \\
\overline{r_2}
\end{pmatrix} = \begin{pmatrix}
0 & 0 & 1 \\
-1 & 2 & 2 \\
0 & 1 & 0
\end{pmatrix} \begin{pmatrix}
r_1 \\
r_2 \\
r_2
\end{pmatrix}.$$
(32)

**Remark.** In the case of the non-autonomous version (27) the coefficients of  $H_i$  and  $E_i$  do not change and therefore the degrees and the algebraic entropy do not change, since its action on the Picard group is identical with the action of the original autonomous version.

#### 5.3 The growth of degree of discrete Painlevé equations

It is shown by Sakai [8] that the discrete Painlevé equations can be obtained by the following method.

Let X be a rational surface obtained by blow ups from  $\mathbb{P}^2$  such that its anti-canonical divisor  $-K_X$  (=  $3\mathcal{E} - E_1 - \cdots - E_9$ ) is uniquely decomposed in prime divisors as  $-K_X = \sum_{i=1}^{I} m_i D_i$  and satisfies  $K_X \cdot D_i = 0$  for all i. This implies that  $K_X \cdot K_X = 0$  and therefore X is obtained by 9 points blow ups from  $\mathbb{P}^2$  and hence rankPic(X) = 10. One can classify such surfaces according to the type (denoted by R) of Dynkin diagram formed by the  $D_i$  (the lattice of R is a sub-lattice of the lattice of  $E_8^{(1)}$ ).

The Cremona isometries of X preserve the sub-lattice  $\langle D_i \rangle$  and its orthogonal sub-lattice with respect to the intersection form. By taking a suitable basis of the orthogonal lattice,  $\{\alpha_1, \alpha_2, \dots, \alpha_J\}$ , it is the basis of an extended affine Weyl group and moreover

 $\alpha_j \cdot \alpha_j$  does not depend on j. Notice that the intersection number of  $\alpha_j$  and  $K_X$  is zero, since  $\alpha_j \cdot K_X = \alpha_j \cdot \sum m_i D_i = 0$ .

The group of Cremona isometries of X is isomorphic to the extended affine Weyl group and each element can be realized as a Cremona transformation on  $\mathbb{P}^2$ . Each of the discrete Painlevé equations corresponds to a translation of extended affine Weyl group.

The Cartan matrixes of these affine Weyl groups are symmetric and  $-K_X$  becomes the canonical central element (and also becomes  $\delta$ , see § 6.2 § 6.4 in [16]). Hence the action of Painlevé equation on the orthogonal lattice  $<\alpha_i>$  is expressed as

$$(\alpha_1, \alpha_2, \cdots, \alpha_J) \mapsto (\alpha_1 + k_1 K_X, \alpha_2 + k_2 K_X, \cdots, \alpha_J + k_J K_X) \tag{33}$$

where  $k_j \in \mathbb{Z}$  and  $\sum k_j = 0$ .

**L**EMMA **5.2** Let X,  $D_i$  and  $\alpha_j$  be as mentioned above. The following formula with respect to the rank:

$$rank < D_1, \dots, D_I, \alpha_1, \dots, \alpha_J >= 9$$

holds.

*Proof.* Notice that  $\{D_1, \dots, D_I\}$  or  $\{\alpha_1, \dots, \alpha_J\}$  are linearly independent. Suppose  $\sum d_i D_i + \sum r_j \alpha_j = 0$ , where  $d_i, r_j \in \mathbb{C}$ . We have  $F := -\sum d_i D_i = \sum r_j \alpha_j \in A_i > 0$  of  $A_i > 0$ . Since  $A_i > 0$  is an element of  $A_i > 0$  of  $A_i > 0$  in  $A_i > 0$  of the Weyl group is  $A_i > 0$  in  $A_i > 0$  of the Weyl group is  $A_i > 0$  in  $A_i > 0$  of the Weyl group is  $A_i > 0$  in  $A_i > 0$  of the Weyl group is  $A_i > 0$  in  $A_i > 0$  of the Weyl group is  $A_i > 0$  in  $A_i > 0$  of the Weyl group is  $A_i > 0$  of the Weyl group is  $A_i > 0$  in  $A_i > 0$  of the Weyl group is  $A_i > 0$ .

$$c_{i,j} = \frac{2\alpha_i \cdot \alpha_j}{\alpha_i \cdot \alpha_i}$$

and  $\alpha_i \cdot \alpha_i$  does not depend on i. Hence it implies

$$C\mathbf{r} = 0, (34)$$

where  $\mathbf{r} = (r_1, \dots, r_J)$ . The corank of Cartan matrix of affine type is 1. Hence we obtain  $F \in \mathbb{Z}K_X$ . It implies the fact that the corank of  $\langle D_1, \dots, D_I, \alpha_1, \dots, \alpha_J \rangle$  is 1.

Let  $E_9$  be an exceptional curve, where "9" means the last blow up.

#### LEMMA 5.3

$$\{D_1,\cdots,D_I,\alpha_1,\cdots,\alpha_J,K_X,E_9\}$$

is a basis of Pic(X).

Of course these elements are not independent.

*Proof.* Suppose  $E_9 = \sum d_i D_i + \sum r_j \alpha_j$ , where  $d_i, r_j \in \mathbb{C}$ . Multiplying this equation by  $K_X$ , we find -1 = 0. The claim of lemma follows from Lemma 5.2.

Let T be a discrete Painlevé equation. Since T acts on  $\{D_i\}$  just as a permutation, there exists l such that  $T^l$  acts on  $\{D_i\}$  as the identity.

**L**EMMA **5.4** There exist integers  $z_1, z_2, ..., z_J$  such that

$$T^l(E_9) = E_9 + \sum z_j \alpha_j$$

holds.

Proof. Notice that  $E_9$  has an intersection with only one of the  $\{D_i\}$  and without loss of generality we can assume  $E_9 \cdot D_1 = 1$ . The system of equations  $T^l(E_9) \cdot D_1 = 1$ ,  $T^l(E_9) \cdot D_i = 0$  (i = 2, ... I) is linear. Hence the solutions of this system are  $T^l(E_9) = E_9 + \sum \mathbb{C}\alpha_j$ . Of course  $T^l(E_9)$  must be an element of  $\mathrm{Pic}(X)$  and therefore the coefficients must be integers.

By Lemma 5.3 and Lemma 5.4 the action of  $T^l$  on Pic(X) is expressed as

$$d_{1}D_{1} + \dots + d_{I}D_{I} + r_{1}\alpha_{1} + \dots + r_{J}\alpha_{J} + kK_{X} + eE_{9}$$

$$\mapsto d_{1}D_{1} + \dots + d_{I}D_{I} + (r_{1} + ez_{1})\alpha_{1} + \dots + (r_{J} + ez_{J})\alpha_{J} + (k + lr_{1}k_{1} + \dots + lr_{J}k_{J})K_{X} + eE_{9}$$

where  $d_i, r_j, k, e \in \mathbb{Z}$ . This action is written by the matrix

$$A := \begin{bmatrix} 1 & & & & & & & \\ & \ddots & & & & & \\ 0 & 1 & & & & & \\ & & 1 & & & z_1 \\ & & & \ddots & & \vdots \\ & & & 1 & z_J \\ \hline & & & | lk_1 & \cdots & lk_J & 1 \\ \hline & & & & | 1 \end{bmatrix}, \tag{35}$$

where a blank means 0.

The matrix  $A^s$ , where  $s \in \mathbb{N}$  is

$$A^{s} := \begin{bmatrix} 1 & & & & & & & & \\ & \ddots & & & & & & \\ 0 & 1 & & & & & & \\ & & 1 & & sz_{1} & & \\ & & \ddots & & & \vdots & \\ & & 1 & sz_{J} & & \\ \hline & & slk_{1} & \cdots & slk_{J} & 1 & *_{s} \\ \hline & & & & 1 \end{bmatrix}, \tag{36}$$

where  $*_s = \frac{1}{2}s(s-1)\sum lk_jz_j$ .

Let us start with  $\mathcal{E} \in \text{Pic}(X)$  and let  $d_1D_1 + \cdots + d_ID_I + r_1\alpha_1 + \cdots + r_J\alpha_J + kK_X + eE_9$  be an expression of  $\mathcal{E}$ . We obtain the following theorem.

**T**HEOREM **5.5** For all discrete Painlevé equations the order of degree of the n-th iterate is at most  $O(n^2)$ .

*Proof.* The degree of the Painlevé equation T as a birational mapping of  $\mathbb{P}^2$  coincides with the coefficient of  $\mathcal{E}$  in  $T^n(\mathcal{E})$  as an action on  $\operatorname{Pic}(X)$ . Because the coefficients of

$$T^{sl}(\mathcal{E})$$

$$= \sum_{i} d_{i}D_{i} + \sum_{j} (r_{j} + sz_{j}e)\alpha_{j} + \left(sl\sum_{j} k_{j}r_{j} + k + \frac{1}{2}s(s-1)le\sum_{j} k_{j}z_{j}\right) K_{X} + E_{9},$$
(37)

where n = sl, increase at most with the order  $O(s^2)$ , the coefficient of  $\mathcal{E}$  also increases at most  $O(n^2)$ .

## 6 Some other examples

We present some examples of rational mappings which satisfy the singularity confinement criterion and some of which have positive algebraic entropy.

### 6.1 Example 1

Let  $w_1, w_2, w_3$  and  $a_i$  be as in § 4. First we consider the mapping  $w_1 \circ w_2$ 

$$\begin{array}{l} w_1\circ w_2: (x,y;a_1,a_2,a_3,a_4,a_5,a_6,a_7)\\ \mapsto & \left(x-\frac{a_1}{y^2}-\frac{a_2}{a_1y},y-\frac{a_3}{(x-a_1/y^2-a_2/(a_1y))^2}-\frac{a_4-2a_2a_3/a_1}{a_3(x-a_1/y^2-a_2/(a_1y))},\right. \\ & \left.-a_1,a_2+\frac{2(a_1a_4-2a_2a_3)}{a_3},-a_3,a_4-\frac{2a_2a_3}{a_1},a_5,a_6,a_7+\frac{2a_2a_6}{a_1}+2(a_4-2\frac{a_2a_3}{a_1})\frac{a_6}{a_3}\right) \end{array}$$

This mapping has the following properties.

- 1) This mapping satisfies the singularity confinement criterion.
- 2) The order of the *n*-th iterate of mapping is  $O(n^2)$  (easily seen from the action on  $<\alpha>$ ).
- 3) The actions on the parameters  $a_5$ ,  $a_6$ ,  $a_7$  can be ignored.

This mapping is nothing but one of the discrete Painlevé equations, since the surface obtained by blowing down the curves  $E_9, E_{10}, \dots, E_{14}$  in X is also the space of initial values and the type of Dynkin diagram corresponding to the irreducible components of anti-canonical divisor is  $D_7^{(1)}$  with the symmetry  $A_1^{(1)}$ . Actually the irreducible components of anti-canonical divisor are

$$E_1 - E_2$$
,  $E_2 - E_3$ ,  $E_3 - E_4$ ,  $E_5 - E_6$ ,  $E_6 - E_7$ ,  $E_7 - E_8$ ,  $H_0 - E_1 - E_2$ ,  $H_1 - E_5 - E_6$ 

and the root basis of orthogonal lattice is

$$\alpha_1 = 2H_1 - E_1 - E_2 - E_3 - E_4,$$
  

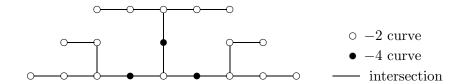
$$\alpha_2 = 2H_0 - E_5 - E_6 - E_7 - E_8.$$

Next we consider the mapping  $w_3 \circ w_2 \circ w_1$ . This mapping is almost identical to the nonautonomous HV eq. after 3 steps. Actually the latter becomes  $w_2 \circ w_3 \circ w_1$ .

At last we consider the mapping  $w_2 \circ w_3 \circ w_2 \circ w_1$ . This mapping satisfies the singularity confinement criterion and its algebraic entropy is  $17 + 12\sqrt{2}$ .

#### **6.2** Example 2

We consider the following diagram as irreducible components of the anti-canonical divisor.



This diagram is realized by the sequence of blow ups from  $\mathbb{P}^1 \times \mathbb{P}^1$  as follows

$$(x,y) \stackrel{(\infty,0)}{\leftarrow} \left(\frac{1}{xy},y\right) \stackrel{(0,0)}{\leftarrow} \left(\frac{1}{xy^2},y\right) \stackrel{(0,0)}{\leftarrow} \left(\frac{1}{xy^2},xy^3\right)$$

$$\stackrel{(0,a_1)}{\leftarrow} \left(\frac{1}{xy^2},xy^2(xy^3-a_1)\right) \stackrel{(0,a_2)}{\leftarrow} \left(\frac{1}{xy^2},xy^2(xy^2(xy^3-a_1)-a_2)\right)$$

$$\stackrel{(0,a_3)}{\leftarrow} \left(\frac{1}{xy^2},xy^2(xy^2(xy^2(xy^3-a_1)-a_2)-a_3)\right),$$

$$\begin{array}{ll} (x,y) & \stackrel{(0,\infty)}{\longleftarrow} & \left(x,\frac{1}{xy}\right) \stackrel{(0,0)}{\longleftarrow} \left(x,\frac{1}{x^2y}\right) \stackrel{(0,0)}{\longleftarrow} \left(x^3y,\frac{1}{x^2y}\right) \\ & \stackrel{(a_4,0)}{\longleftarrow} & \left(x^2y(x^3y-a_4),\frac{1}{x^2y}\right) \stackrel{(a_5,0)}{\longleftarrow} \left(x^2y(x^2y(x^3y-a_4)-a_5),\frac{1}{x^2y}\right) \\ & \stackrel{(a_6,0)}{\longleftarrow} & \left(x^2y(x^2y(x^3y-a_4)-a_5),\frac{1}{x^2y}\right) \end{array}$$

and

$$(x,y) \quad \stackrel{(\infty,\infty)}{\leftarrow} \quad \left(\frac{1}{x},\frac{x}{y}\right) \stackrel{(0,1)}{\leftarrow} \left(\frac{1}{x},x(\frac{x}{y}-1)\right) \stackrel{(0,a_7)}{\leftarrow} \left(\frac{1}{xz},z\right),$$

where we denote  $z := x(x/y - 1) - a_7$ ,

$$\begin{array}{ll} \xleftarrow{(0,0)}{\mu_{16}} & \left(\frac{1}{xz^2},z\right) \xleftarrow{(0,0)}{\mu_{17}} \left(\frac{1}{xz^2},xz^3\right) \xleftarrow{(0,a_8)}{\mu_{18}} \left(\frac{1}{xz^2},xz^2(xz^3-a_8)\right) \\ \xleftarrow{(0,a_9)}{\mu_{19}} & \left(\frac{1}{xz^2},xz^2(xz^2(xz^2-a_8)-a_9)\right) \xleftarrow{(0,a_{10})}{\mu_{20}} \left(\frac{1}{xz^2},xz^2(xz^2(xz^2(xz^3-a_8)-a_9)-a_{10})\right). \end{array}$$

Similar to the case of the HV eq.( $\S 4$ ), we obtain

$$\begin{array}{lll} w_2 & : & (x,y:a_1,a_2,\cdots,a_{10}) \\ & \mapsto & \left(x,y-\frac{a_4}{x^3}-\frac{a_5}{a_4x^2}-(\frac{a_6}{a_4^2}-\frac{a_5^2}{a_4^3})\frac{1}{x} \right. \\ & & a_1,a_2,a_3+\frac{3a_1^2a_5^2}{a_4^3}-\frac{3a_1^2a_6}{a_4^2},-a_4,a_5,-a_6,a_7,a_8,a_9,a_{10}-\frac{3a_5^2a_8^2}{a_4^3}+\frac{3a_6a_8^2}{a_4^2}\right), \end{array}$$

$$\sigma_{13} : (x, y; a_1, a_2, \dots, a_{10}) \\ \mapsto (x, x - y - a_7; a_8, a_9, a_{10} - 3a_7^2 a_8^2, -a_4, a_5, -a_6, a_7, a_1, a_2, a_3 + 3a_1^2 a_7^2),$$

$$\sigma_{12} : (x, y; a_1, a_2, \cdots, a_{10})$$

$$\mapsto (-y, -x; a_4, -a_5, a_6, a_1, -a_2, a_3, a_7, -a_8, a_9, -a_{10} + 6a_7^2 a_8^2)$$

and finally

$$w_{2} \circ \sigma_{13} \circ \sigma_{12} : (x, y; a_{1}, a_{2}, \cdots, a_{10}) \mapsto \left(-y, -y + x - a_{7} - \frac{a_{1}}{y^{3}} - \frac{a_{2}}{a_{1}y^{2}} + (\frac{-a_{3}}{a_{1}^{2}} + \frac{a_{2}^{2}}{a_{1}^{3}}) \frac{1}{y}; -a_{8}, a_{9}, -a_{10} + 3a_{8}^{2}a_{7}^{2} - \frac{3a_{2}^{2}a_{8}^{2}}{a_{1}^{3}} + \frac{3a_{8}^{2}a_{3}}{a_{1}^{2}}, a_{1}, -a_{2}, a_{3}, a_{7}, a_{4}, -a_{5}, a_{6} + 3a_{4}^{2}a_{7}^{2} + \frac{3a_{2}^{2}a_{4}^{2}}{a_{1}^{3}} - \frac{3a_{3}a_{4}^{2}}{a_{1}^{2}}\right)$$

In the case  $a_i = 0$  for i = 2, 3, 5, 6, 9, 10, this mapping reduces to

$$w_2 \circ \sigma_{13} \circ \sigma_{12} : (x, y; a_1, a_4, a_7, a_8) \mapsto (-y, x - y - a_7 + \frac{a_1}{y^3}; -a_8, a_1, a_7, a_4).$$
 (38)

We present some basic properties of this mapping.

The Picard group of the space of initial values is

$$Pic(X) = \mathbb{Z}H_0 + \mathbb{Z}H_1 + \mathbb{Z}E_1 + \cdots + \mathbb{Z}E_{20}$$

and the canonical divisor of X is

$$K_X = -2H_0 - 2H_1 + E_1 + \dots + E_{20}.$$

The irreducible components of the anti-canonical divisor are

$$E_1 - E_2, \ E_2 - E_3, \ E_3 - E_4, \ E_4 - E_5, \ E_5 - E_6,$$
 
$$E_6 - E_7, \ E_7 - E_8, \ E_8 - E_9, \ E_9 - E_{10}, \ E_{11} - E_{12},$$
 
$$E_{12} - E_{13}, \ E_{15} - E_{16}, \ E_{16} - E_{17}, \cdots, E_{19} - E_{20},$$
 
$$H_0 - E_1 - E_2 - E_3 - E_{13}, \ H_1 - E_5 - E_6 - E_7 - E_{13}, \ E_{14} - E_{15} - E_{16} - E_{17}$$

and the root basis is

$$\alpha_1 = 3H_1 - E_1 - E_2 - E_3 - E_4 - E_5 - E_6,$$

$$\alpha_2 = 3H_0 - E_7 - E_8 - E_9 - E_{10} - E_{11} - E_{12},$$

$$\alpha_3 = 3H_0 + 3H_1 - 3E_{13} - 3E_{14} - E_{15} - E_{16} - E_{17} - E_{18} - E_{19} - E_{20}.$$

The Cartan matrix  $2(\alpha_i \cdot \alpha_j)/(\alpha_i \cdot \alpha_i)$  is

$$\begin{bmatrix} 2 & -3 & -3 \\ -3 & 2 & -3 \\ -3 & -3 & 2 \end{bmatrix}. \tag{39}$$

This Cartan matrix is not finite, affine nor hyperbolic type. The algebraic entropy is  $2 + \sqrt{3}$ .

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## **Appendix**

## A Uniqueness of the decomposition of the anti-canonical divisor

**THEOREM A.1** Let X be the space of initial values of the HV eq. obtained in Section 2. The anti-canonical class of divisors  $-K_X$  can be reduced uniquely to prime divisors as

$$D_0 + 2D_1 + D_2 + D_3 + 2D_4 + D_5 + 3D_6 + D_7 + 2D_8 + D_9 + 2D_{10} + 2D_{11} + 2D_{12}$$
 (40)

*Proof.* Suppose that  $-K_X$  is reduced to prime divisors as  $-K_X = \sum_{i=1}^m f_i F_i$ , where  $F_i$  is a prime divisor and  $f_i$  is a positive integer.  $F_i$  has the form

$$h_0^{(i)}H_0 + h_1^{(i)}H_1 - \sum_{j=1}^{14} e_j^{(i)}E_j,$$

where  $h_j$  and  $e_j$  are nonnegative integers and  $h_j \leq 2$  and moreover  $h_0$  or  $h_1$  is strictly positive (remember that  $-K_X = 2H_0 + 2H_1 - \sum_{j=1}^{14} E_j$ ), or in the case the curve is included in the total transform of a blow up point:

$$E_1 - E_2, E_2 - E_3, E_3 - E_4, E_4, E_5 - E_6, E_6 - E_7, E_7 - E_8, E_8,$$
  
 $E_9 - E_{10}, E_{10} - E_{11} - E_{12}, E_{11} - E_{12}, E_{12} - E_{13}, E_{13} - E_{14}, E_{14}.$ 

Let us first suppose that there does not exist  $F_i$  such that  $F_i = E_{13} - E_{14}$ . Then there exists a  $F_i$  such that  $F_i$  has the form as  $h_0H_0 + h_1H_1 - \sum_{j=1}^{14} e_jE_j$  where  $e_{14}$  is strictly positive. This means that  $F_i$  has an intersection point with  $E_{14}$  and therefore this divisor passes the point of the 14-th blow up before the blow up. Namely,

$$\left(\frac{1}{x^2(x/y-1)}, x^2(\frac{x}{y}-1)(x^3(\frac{x}{y}-1)^2-a)\right) = (0,0)$$

as  $x, y \to \infty$ . Denoting u = 1/x, v = 1/v, we have  $u^3/(v-u) = 0$  and  $(v-u)(v^2 - 2v + 1 - au^5)/u^8 = 0$  as  $u, v \to 0$ . This implies  $v^2 - 2v + 1 - au^5 = o(\frac{u^8}{v-u})$  and hence we have  $h_0 \ge 5$ , which is a contradiction.

Similarly there does not exist a divisor which has the form  $h_0H_0 + h_1H_1 - \sum_{j=1}^{13} e_jE_j$  where  $e_{12}$  or  $e_{13}$  is strictly positive and  $h_j \leq 2$ .

Now, we can consider the case where there does not exist a  $F_i$  such that  $F_i = E_{14}$ . Then according to the above result, there should exist integers j, k such that  $F_j = E_{13} - E_{14}$ ,  $F_k = E_{12} - E_{13}$  and  $f_j = 1$ ,  $f_k = 2$  (in the absence of a prime divisor  $E_{14}$ , the form of  $-K_X$  forces  $f_j = 1$  and subsequently  $f_k = 2$  in order to have the correct  $E_{13}$  dependence). Considering the new coefficient of  $E_{12}$ , we have that the sum of the coefficients of  $E_{11} - E_{12}$  and  $E_{10} - E_{11} - E_{12}$  has to be 3.

Then we have the following possibilities:

- i) the coefficient of  $E_{11} E_{12}$  is 0;
- ii) the coefficient of  $E_{11} E_{12}$  is 1;
- iii) the coefficient of  $E_{11} E_{12}$  is 2;
- iv) the coefficient of  $E_{11} E_{12}$  is 3.

In the case i),ii),iii) or iv) the coefficient of  $E_{11}$  is -3, -1, 1 or 3 respectively. Hence i) is impossible. To pass the point of the 11-th blow up, a divisor whose class has the form  $h_0H_0 + h_1H_1 - \sum_{j=1}^{11} e_jE_j$  must satisfy the equation u = 0 and  $(v - u)/u^2 = 0$ . This implies  $h_0 \ge 2$ ,  $h_1 \ge 1$  and  $e_{11} = 1$  and therefore iii) and iv) are impossible. Consequently the coefficient of  $E_{11} - E_{12}$  has to be 1 and the coefficient of  $E_{10} - E_{11} - E_{12}$  has to be 2.

Along the same lines, considering the coefficient of  $E_{10}$ , we have the following possibilities:

- a) the coefficient of  $E_9 E_{10}$  is 0, in which case the coefficient of  $E_9$ ,  $E_{10}$  is 0, 2;
- b) the coefficient of  $E_9 E_{10}$  is 1, in which case the coefficient of  $E_9$ ,  $E_{10}$  is 1, 1;
- c) the coefficient of  $E_9 E_{10}$  is 2, in which case the coefficient of  $E_9$ ,  $E_{10}$  is 2, 0;
- d) the coefficient of  $E_9 E_{10}$  is 3, in which case the coefficient of  $E_9, E_{10}$  is 3, -1.

To pass the point of the 10-th blow up, a divisor whose class has the form  $h_0H_0 + h_1H_1 - \sum_{j=1}^{11} e_jE_j$  must satisfy the equation u=0 and v/u=1. It implies two possibilities: i)  $h_0 \geq 1$ ,  $h_1 \geq 1$ ,  $e_9 = e_{10} = 1$  and  $e_2, e_3, e_4, e_6, e_7, e_8 = 0$  (since if  $e_2$  would be strictly positive, the intersection of the divisor  $h_0H_0 + h_1H_1 - \sum_{j=1}^{11} e_jE_j$  and  $x = \infty$  (=  $H_0$ ) is greater than or equal to 3, which is impossible) or ii)  $h_0H_0 + h_1H_1 - \sum_{j=1}^{11} e_jE_j = 2H_0 + 2H_1 - 2E_9 - 2E_{10}$ . Therefore a) is impossible. In the case b) the coefficient of  $E_9$  becomes -1 (or 1, 3 in the cases c), d) respectively) and the coefficients of  $H_0$  and  $H_1$  become equal to 2 (or become greater than or equal to 1, become 0 in the cases c), d) respectively).

In the case b) the coefficients of  $E_1, E_2, \dots, E_8$  is 0 and hence the remaining contribution  $-E_1 - \dots - E_8$  must be a sum of the terms:

$$E_1 - E_2, E_2 - E_3, E_3 - E_4, E_4, E_5 - E_6, E_6 - E_7, E_7 - E_8, E_8$$
 (41)

with nonnegative integer coefficients. Obviously this is impossible. In the case c) the remaining contribution has the form  $b_0'H_0 + b_1'H_1 - b_1E_1 - \cdots - b_8E_8 - 2E_9$ , where  $b_0', b_1' = 0$  or 1,  $b_2, b_3, b_4, b_6, b_7, b_8 = 1$  and  $b_1, b_2 = 0$  or 1 and hence it must be a sum of  $H_0 - E_1 - E_2 - E_9$ ,  $H_1 - E_5 - E_6 - E_9$  and (41) with nonnegative integer coefficients. By straight forward discussion it can be seen that this case is also impossible.

The coefficient of  $E_9 - E_{10}$  therefore has to be equal to 3 and hence the coefficient of  $E_9$  has to be 3 as well, from which point on it can be easily seen that the remaining contributions to  $-K_X$  are uniquely determined.

Similarly, starting from the supposition that there does exist a  $F_i$  such that  $F_i = E_{14}$ , similar proof can be given.

## B The space of initial values constructed by blowing up $\mathbb{P}^2$

We consider the construction of the space of initial values for the HV eq.  $\varphi: \mathbb{P}^2 \to \mathbb{P}^2$ 

$$\varphi: (X, Y, Z) \mapsto (Y^3, -XY^2 + Y^3 + aZ^3, Y^2Z).$$

This mapping is reduced from (2) by the change of variables x = X/Z, y = Y/Z.

The space of initial values X' becomes isomorphic to X where X is the space of initial values in the case of  $\mathbb{P}^1 \times \mathbb{P}^1$ . Denoting a class of the curve aX + bY + cZ = 0 by  $\mathcal{E}$ , where  $a, b, c \in \mathbb{C}$  (a bears no relation with a in  $\varphi$ ), we have a correspondence between the bases of their Picard groups as follows

$$\operatorname{Pic}(X') = \mathbf{Z}\mathcal{E} + \mathbf{Z}E'_p + \mathbf{Z}E'_q + \mathbf{Z}E'_1 + \dots + \mathbf{Z}E'_8 + \mathbf{Z}E'_{10} + \mathbf{Z}E'_{11} + \mathbf{Z}E'_{14}$$

$$\mathcal{E} = H_0 + H_1 - E_9, E'_p = H_0 - E_9, E'_q = H_1 - E_9,$$

$$E'_i = E_i \quad \text{for } i = 1, 2, 3, 4, 5, 6, 7, 8, 10, 11, 12, 13, 14.$$

The intersection form is

$$\mathcal{E} \cdot \mathcal{E} = 1$$
,  $E'_i \cdot E'_j = -\delta_{i,j}$ ,  $\mathcal{E} \cdot E'_i = 0$ 

for all  $i, j \in \{p, q, 1, 2, \dots, 14\}$ .

The irreducible components of the anti-canonical divisor are

$$E'_1 - E'_2$$
,  $E'_2 - E'_3$ ,  $E'_3 - E'_4$ ,  $E'_5 - E'_6$ ,  $E'_6 - E'_7$ ,  $E'_7 - E'_8$ ,   
 $\mathcal{E} - E'_p - E'_q - E'_{10}$ ,  $E'_{11} - E'_{12}$ ,  $E'_{12} - E'_{13}$ ,  $E'_{13} - E'_{14}$   
 $E'_p - E'_1 - E'_2$ ,  $E'_q - E'_5 - E'_6$ ,  $E'_{10} - E'_{11} - E'_{12}$ 

and the root basis is

$$\alpha_1 = 2\mathcal{E} - 2E'_p - E'_1 - E'_2 - E'_3 - E'_4,$$

$$\alpha_2 = 2\mathcal{E} - 2E'_q - E'_5 - E'_6 - E'_7 - E'_8,$$

$$\alpha_3 = 2\mathcal{E} - 2E'_{10} - E'_{11} - E'_{12} - E'_{13} - E'_{14}.$$

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